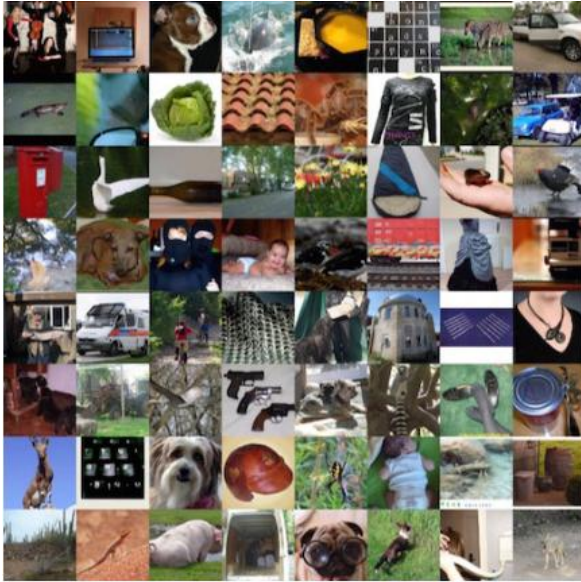


Generative Models

Generative Models

- Given training data, how to generate new samples from the same distribution

Real Images



Generated Images



Source: <https://openai.com/blog/generative-models/>

Generative Models

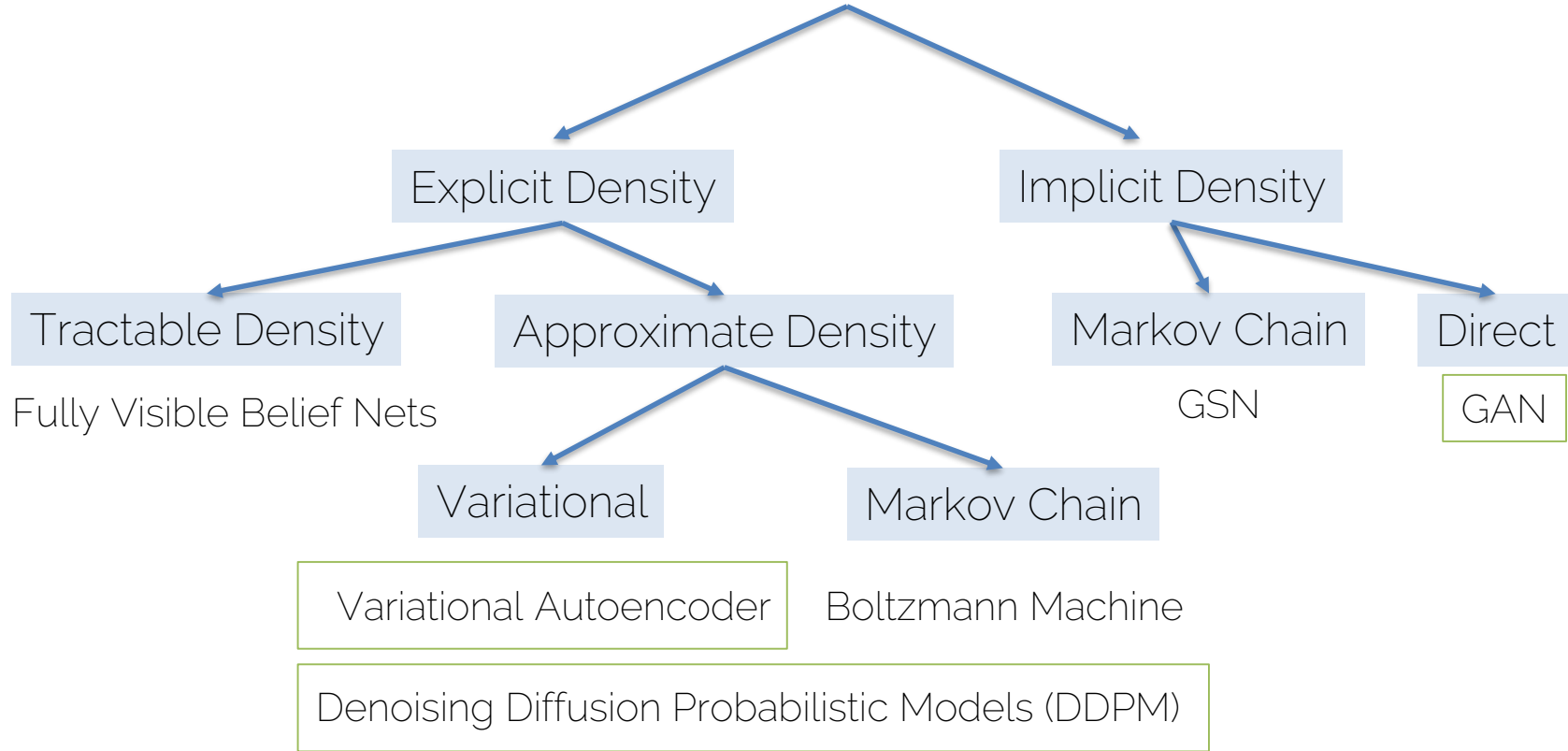


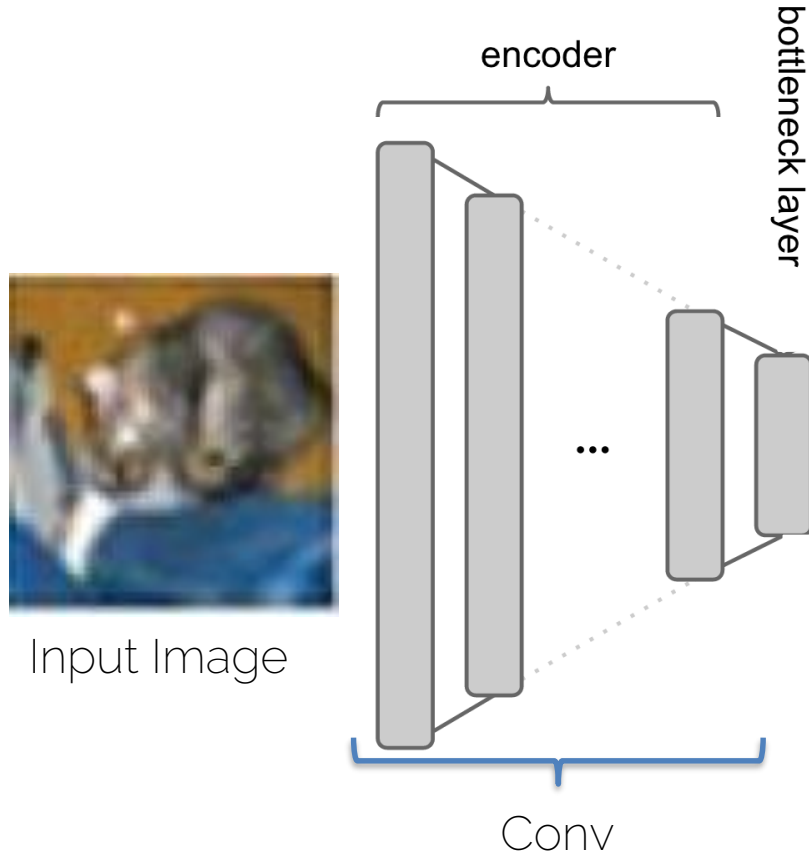
Figure copyright and adapted from Ian Goodfellow, Tutorial on Generative Adversarial Networks, 2017

Autoencoders & VAEs

Autoencoders

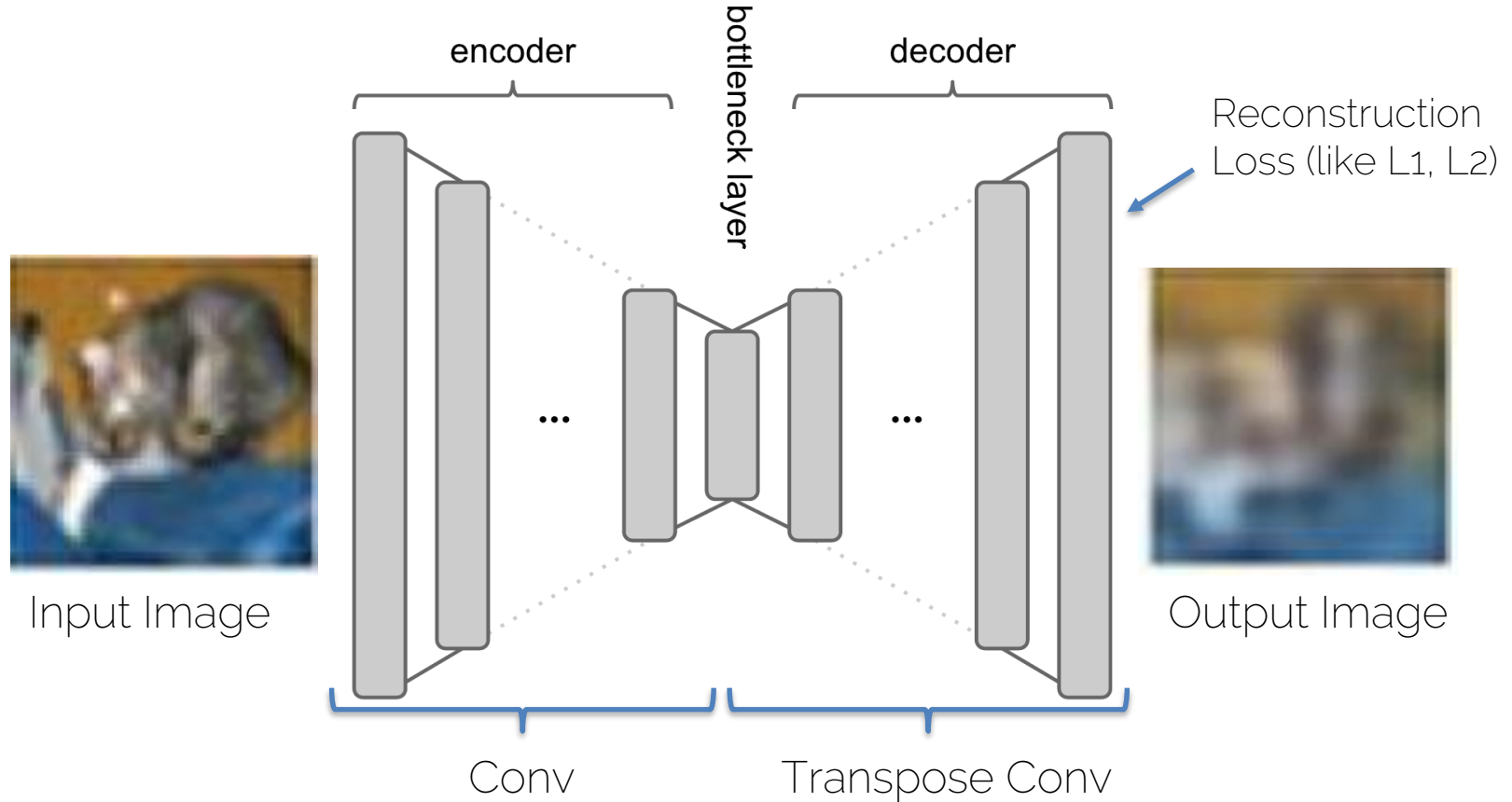
- Can be used as a basic generative models
- Unsupervised approach for learning a lower-dimensional feature representation from unlabeled training data

Autoencoders

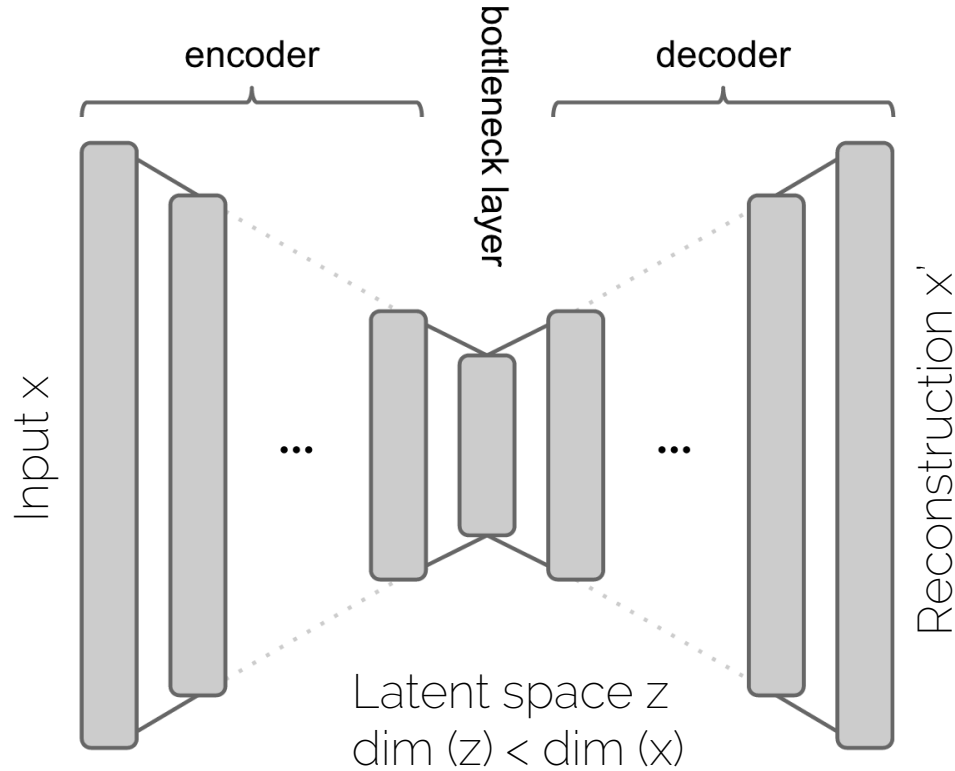


- From an input image to a feature representation (bottleneck layer)
- Encoder: a CNN in our case

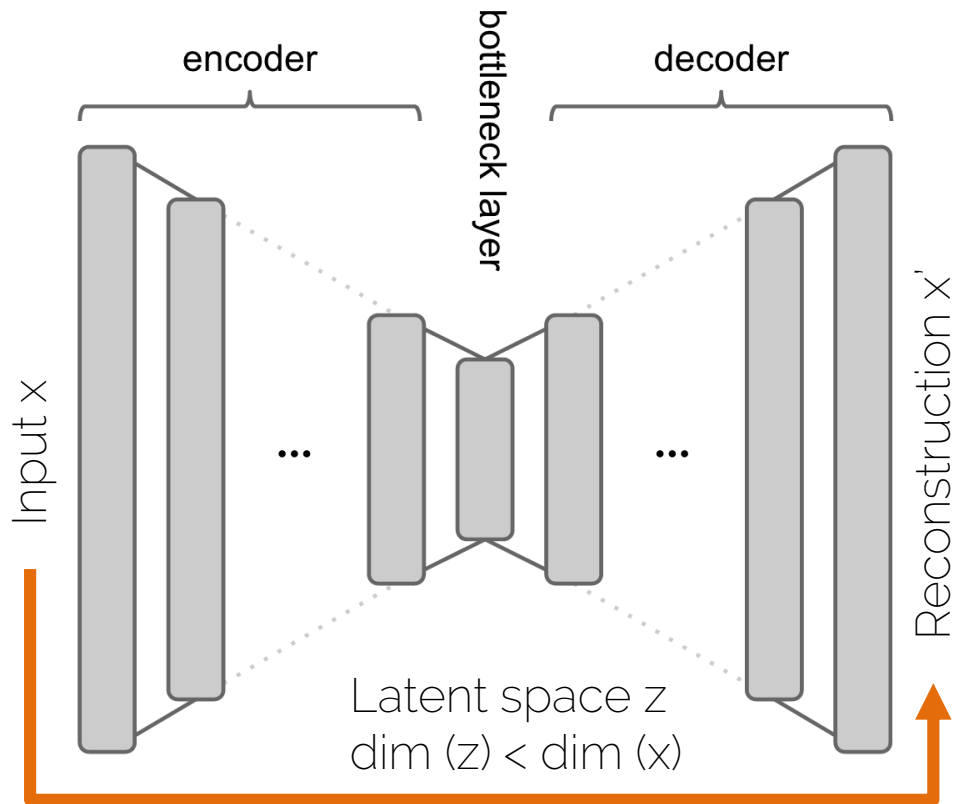
Autoencoder: training



Autoencoder: training

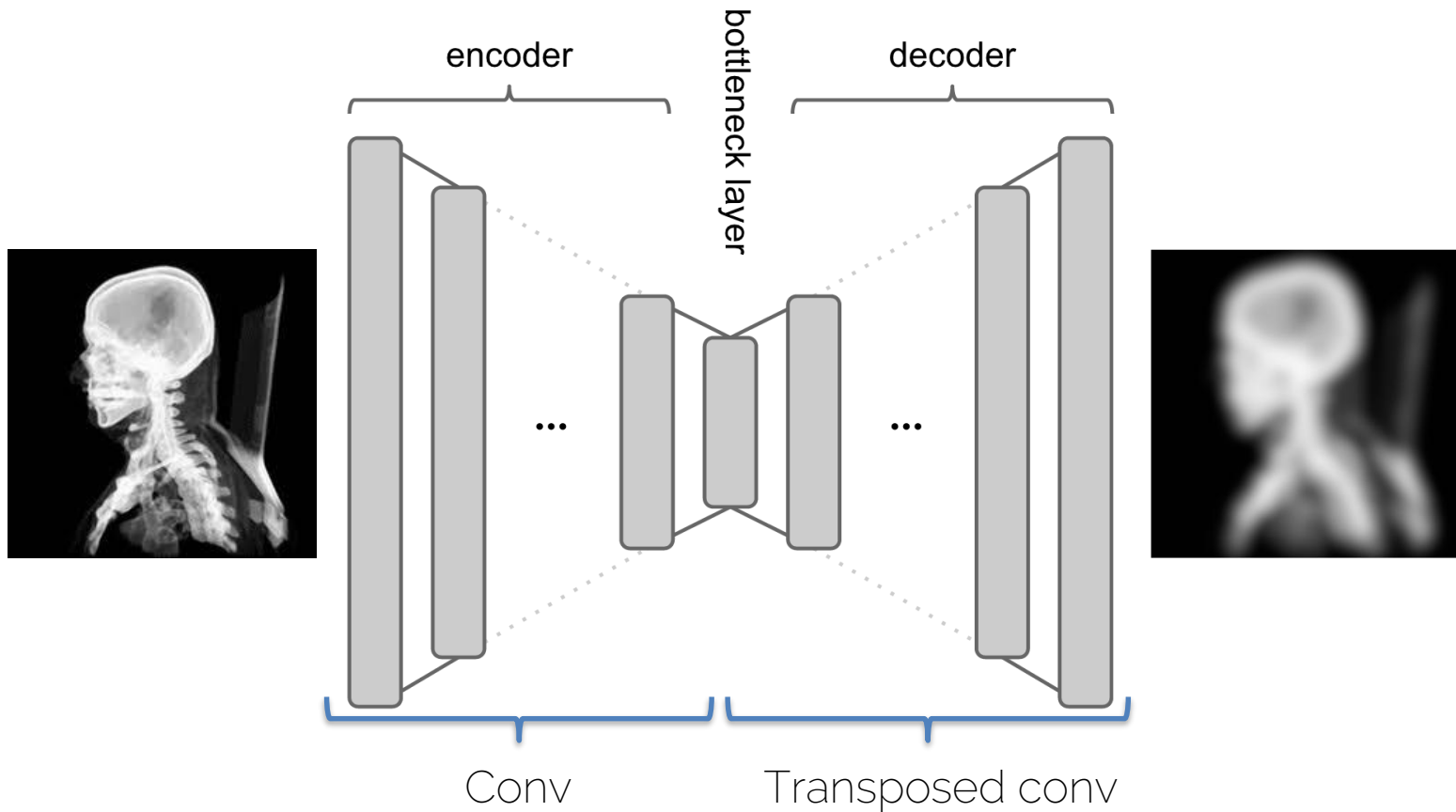


Autoencoder: training

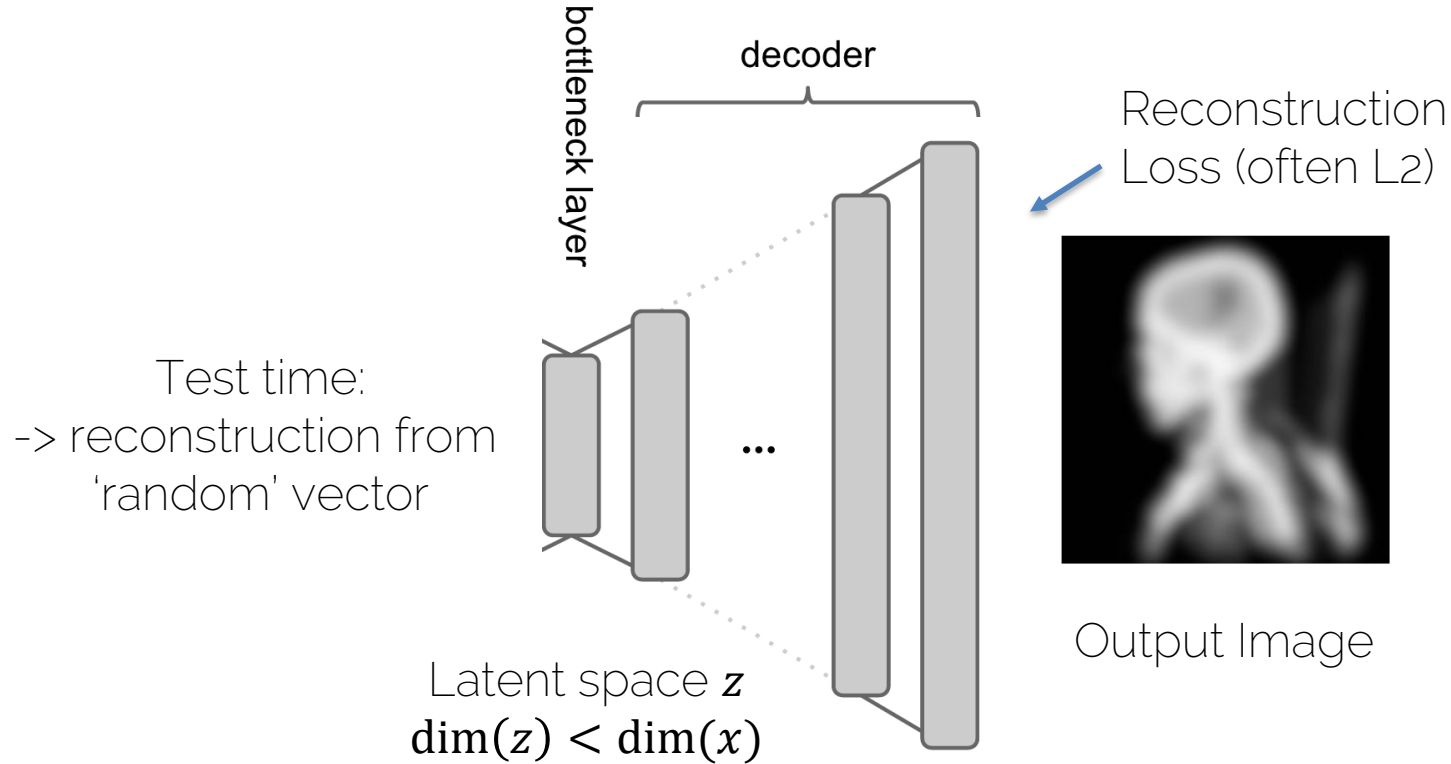


- No labels required
- We can use unlabeled data to first get its structure

Autoencoder



Decoder as Generative Model



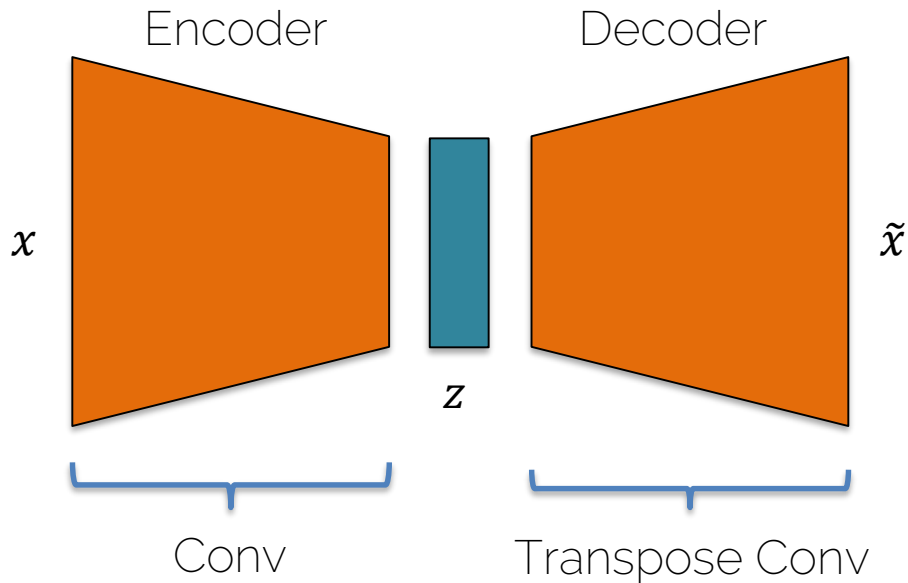
Why using autoencoders?

- Use 1: pre-training, as mentioned before
 - Image → same image reconstructed
 - Use the encoder as “feature extractor”
- Use 2: Use them to get pixel-wise predictions
 - Image → semantic segmentation
 - Low-resolution image → High-resolution image
 - Image → Depth map

Variational Autoencoders

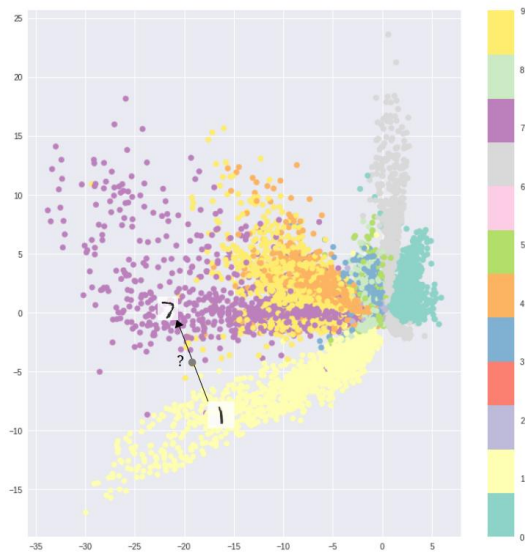
Autoencoders

- Encode the input into a representation (bottleneck) and reconstruct it with the decoder



Autoencoders

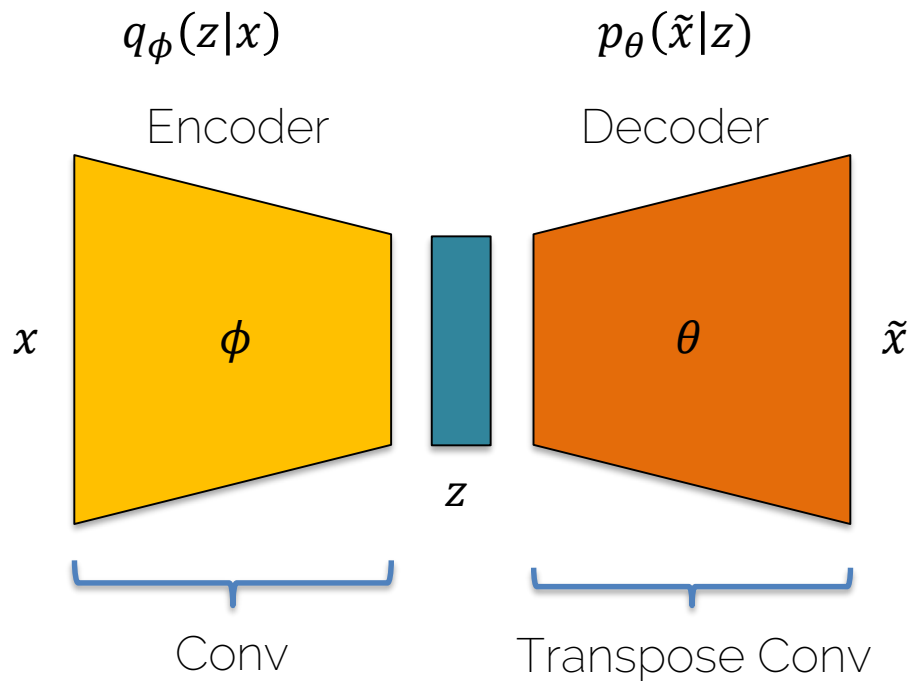
- Encode the input into a representation (bottleneck) and reconstruct it with the decoder



Latent space learned
by autoencoder on MNIST

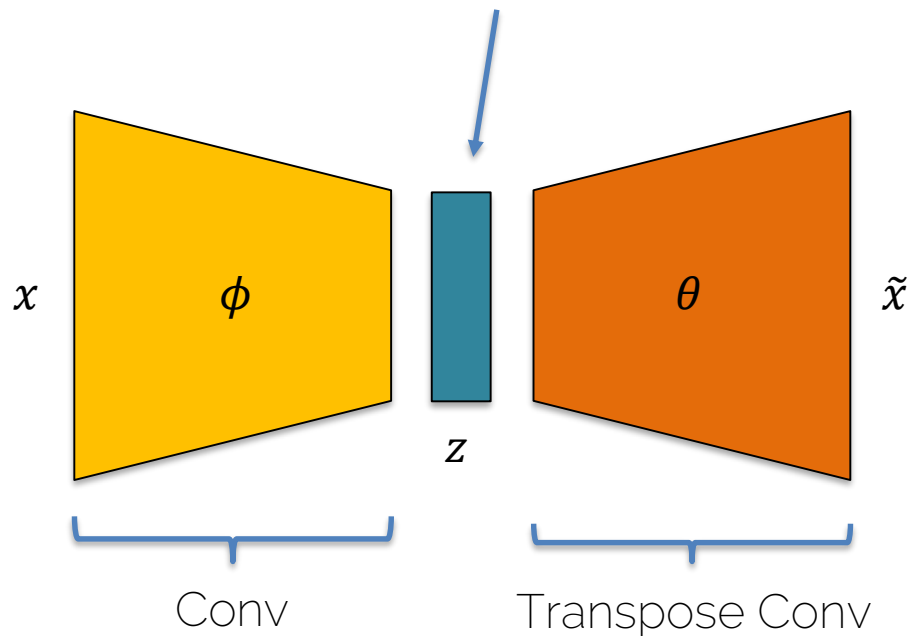
Source: <https://bit.ly/37ctFMS>

Variational Autoencoder



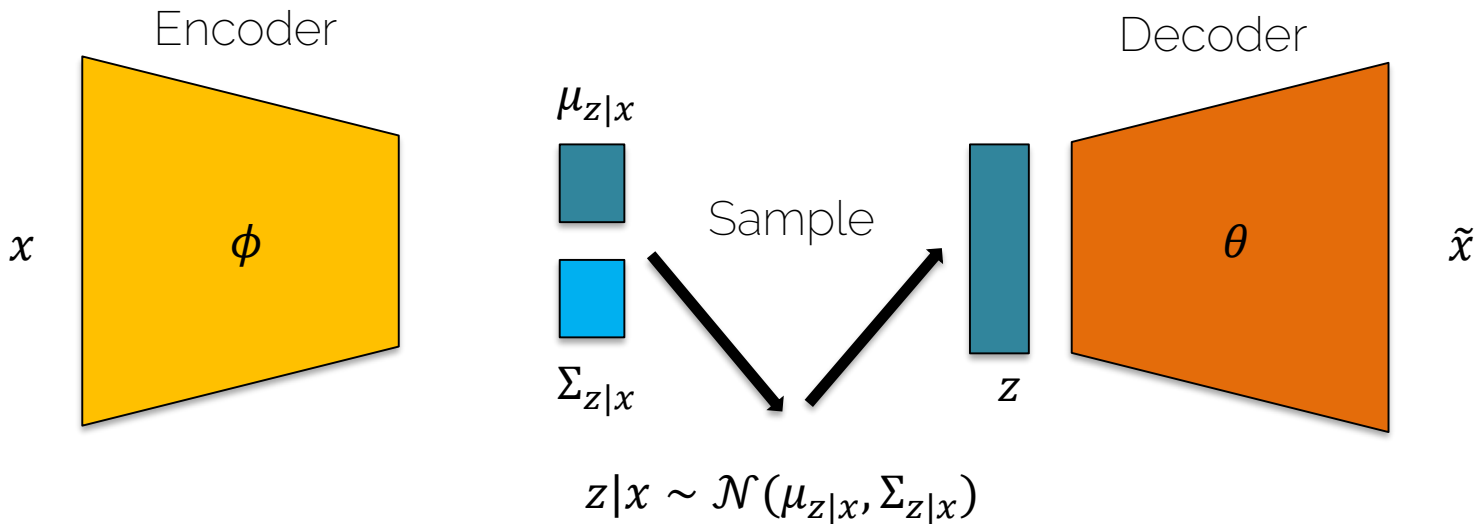
Variational Autoencoder

Goal: Sample from the latent distribution to generate new outputs!



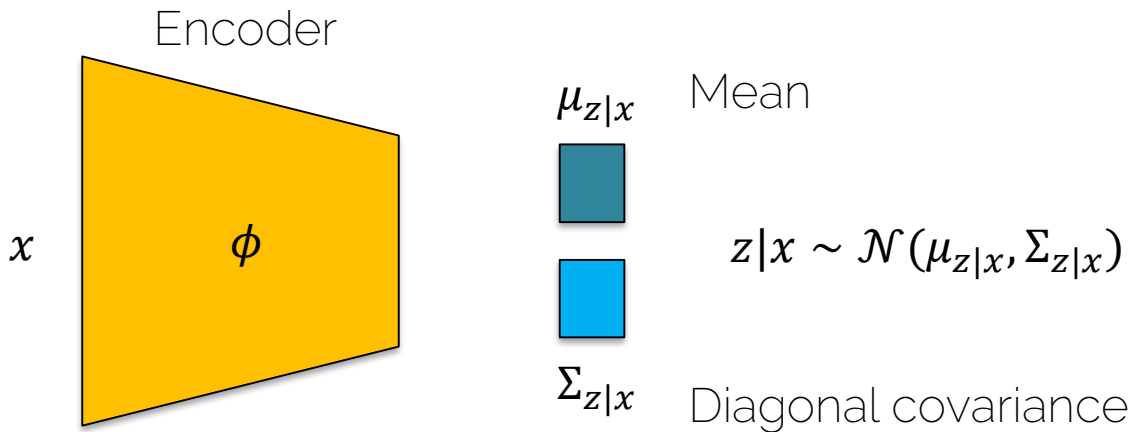
Variational Autoencoder

- Latent space is now a distribution
- Specifically it is a Gaussian



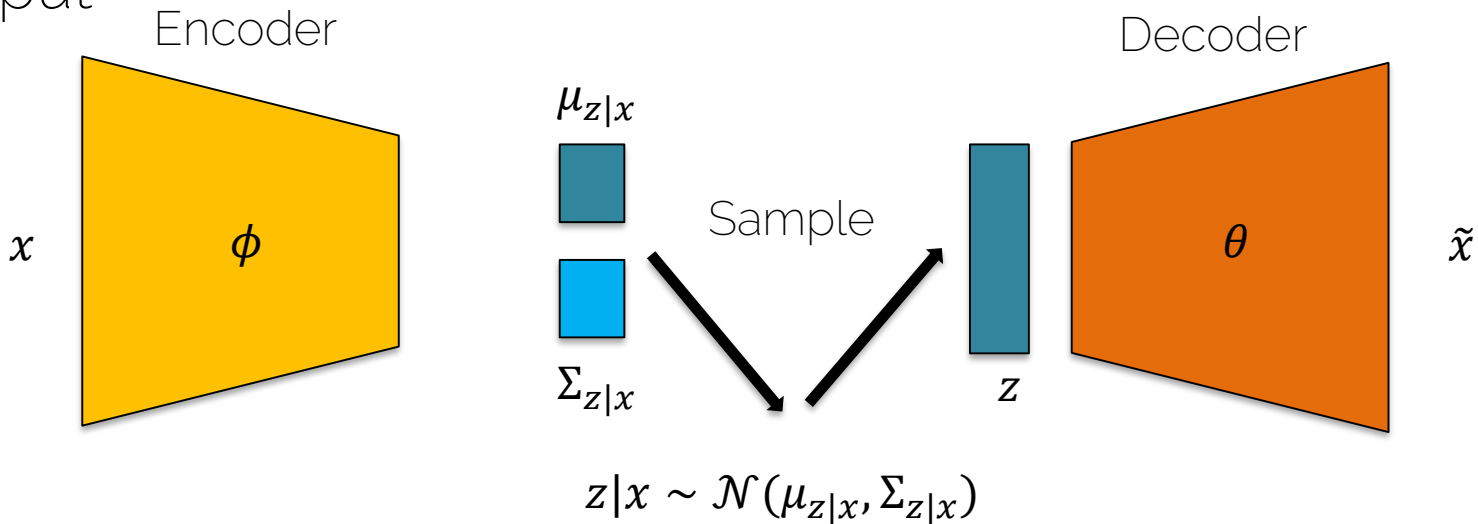
Variational Autoencoder

- Latent space is now a distribution
- Specifically it is a Gaussian



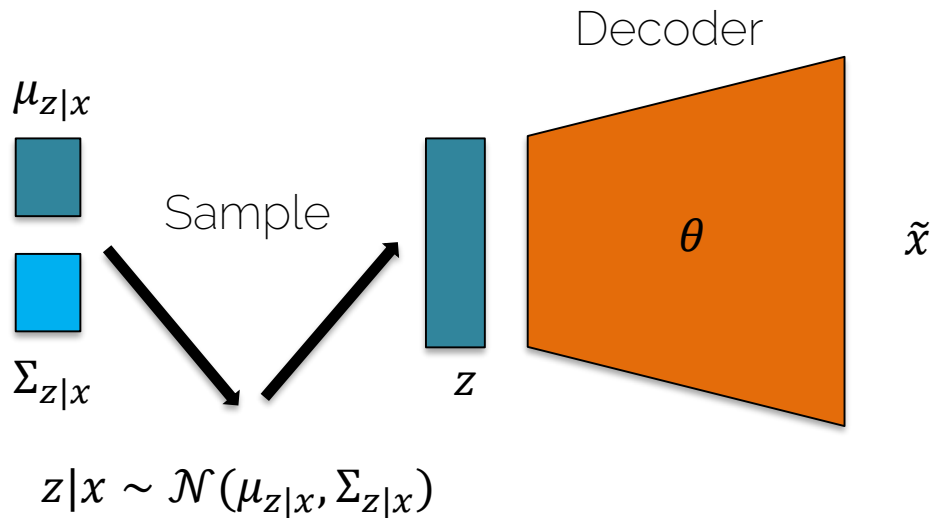
Variational Autoencoder

- Training: loss makes sure the latent space is close to a Gaussian and the reconstructed output is close to the input



Variational Autoencoder

- Test: Sample from the latent space



Autoencoder vs VAE



Autoencoder



Variational Autoencoder



Ground Truth

Source: <https://github.com/kvfrans/variational-autoencoder>

Generating data

Degree of smile



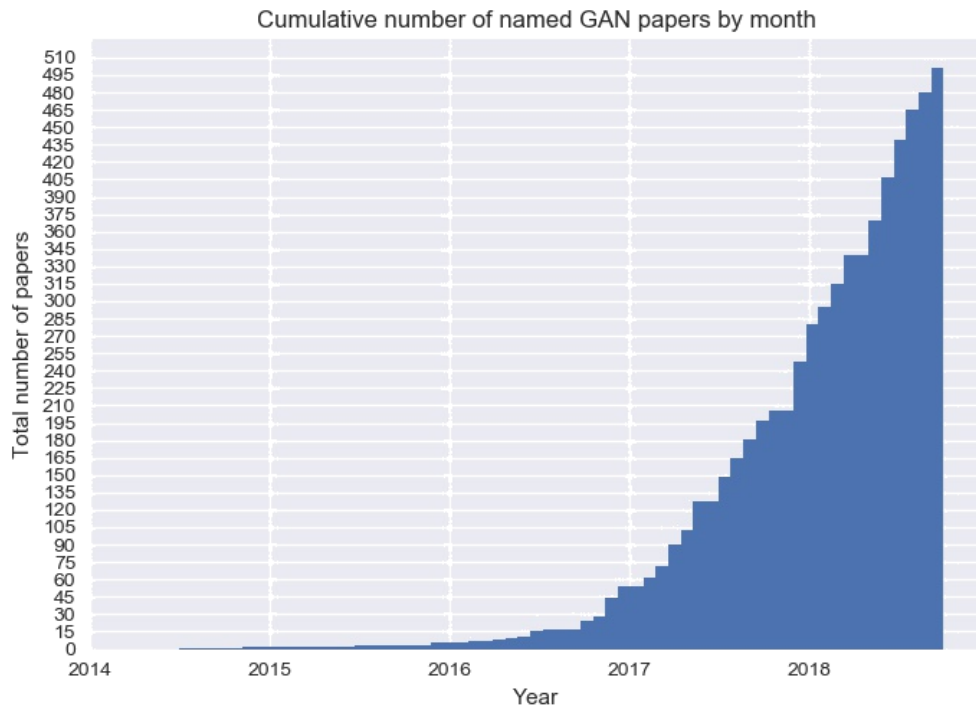
Head pose

Autoencoder Overview

- Autoencoders (AE)
 - Reconstruct input
 - Unsupervised learning
- Variational Autoencoders (VAE)
 - Probability distribution in latent space (e.g., Gaussian)
 - Interpretable latent space (head pose, smile)
 - Sample from model to generate output

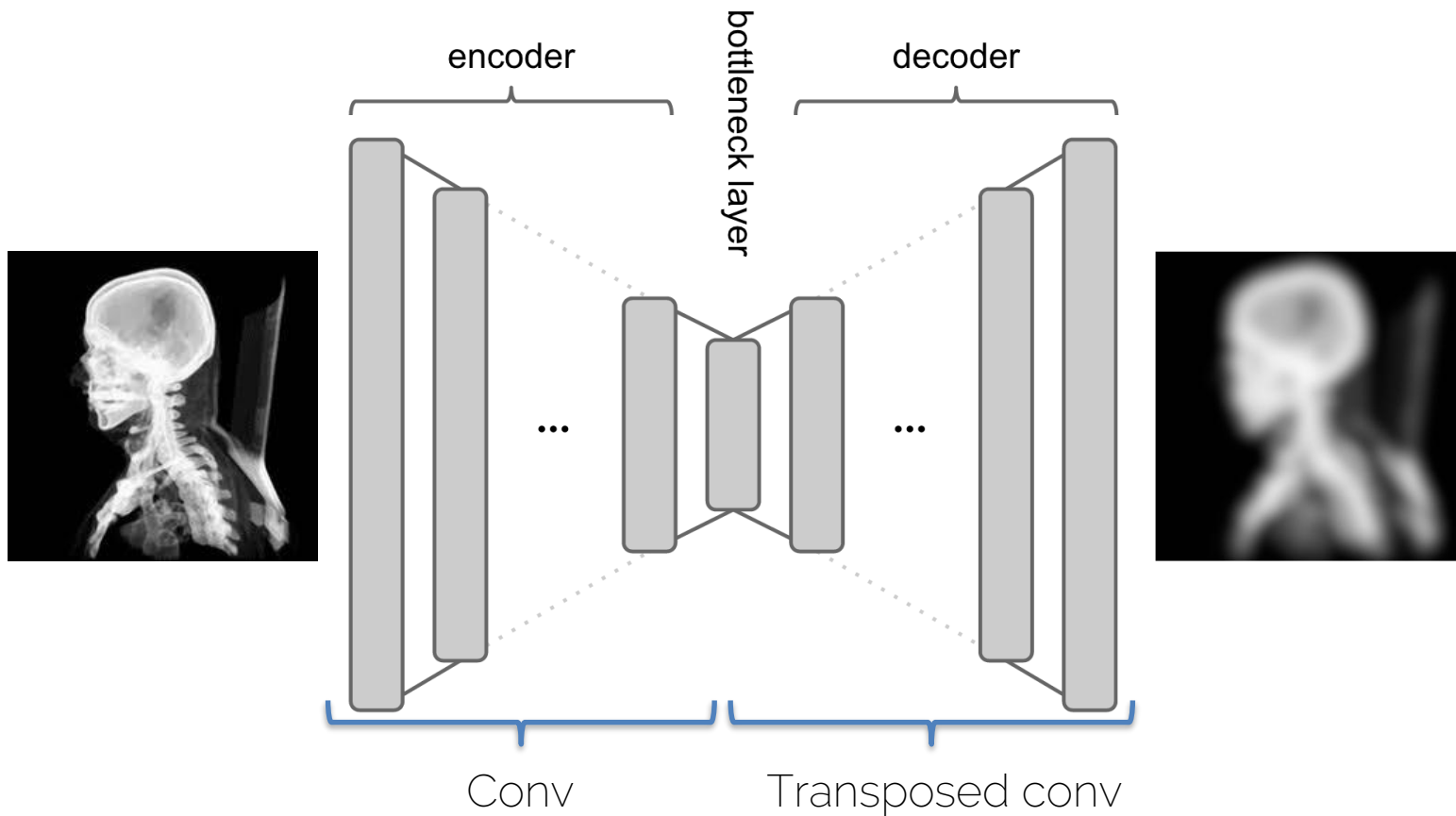
Generative Adversarial Networks (GANs)

Generative Adversarial Networks (GANs)

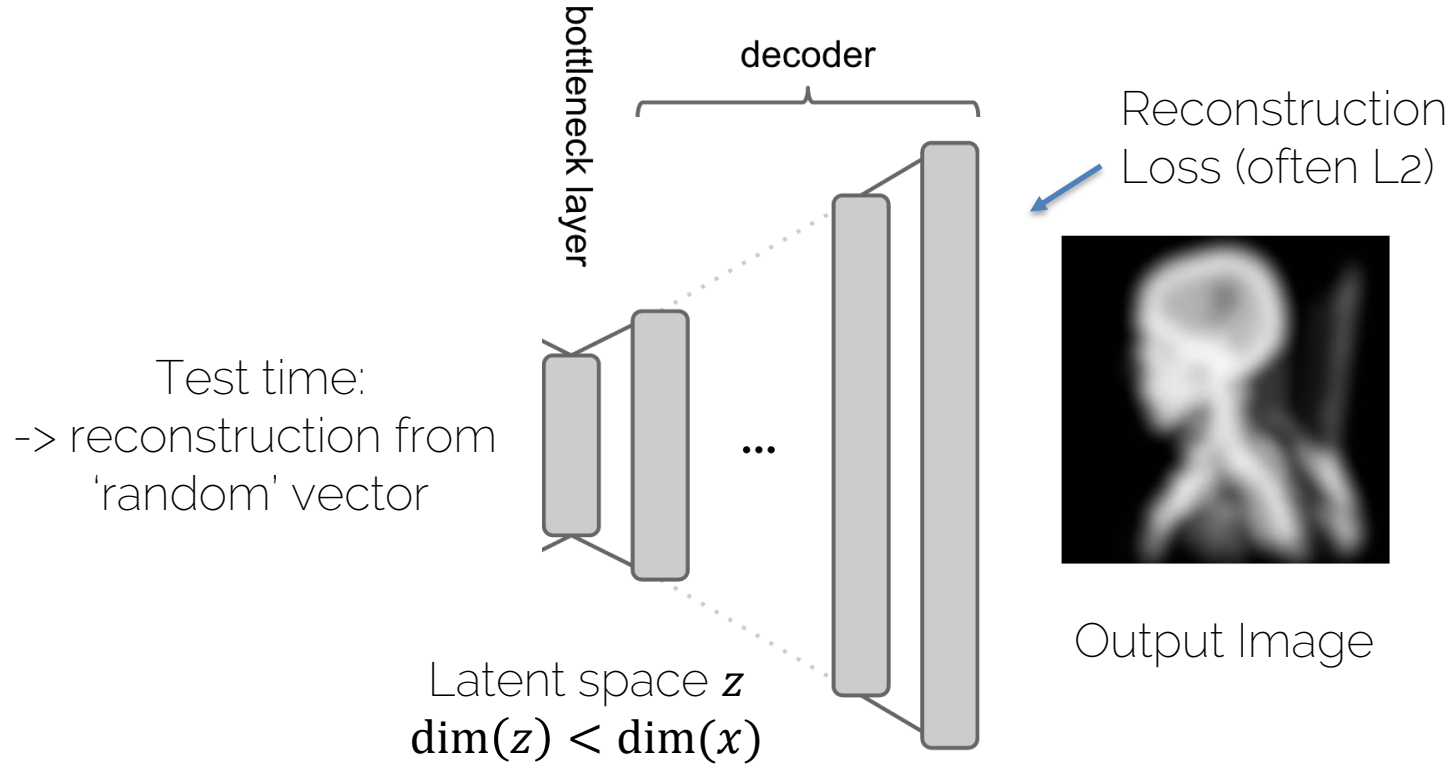


Source: <https://github.com/hindupuravinash/the-gan-zoo>

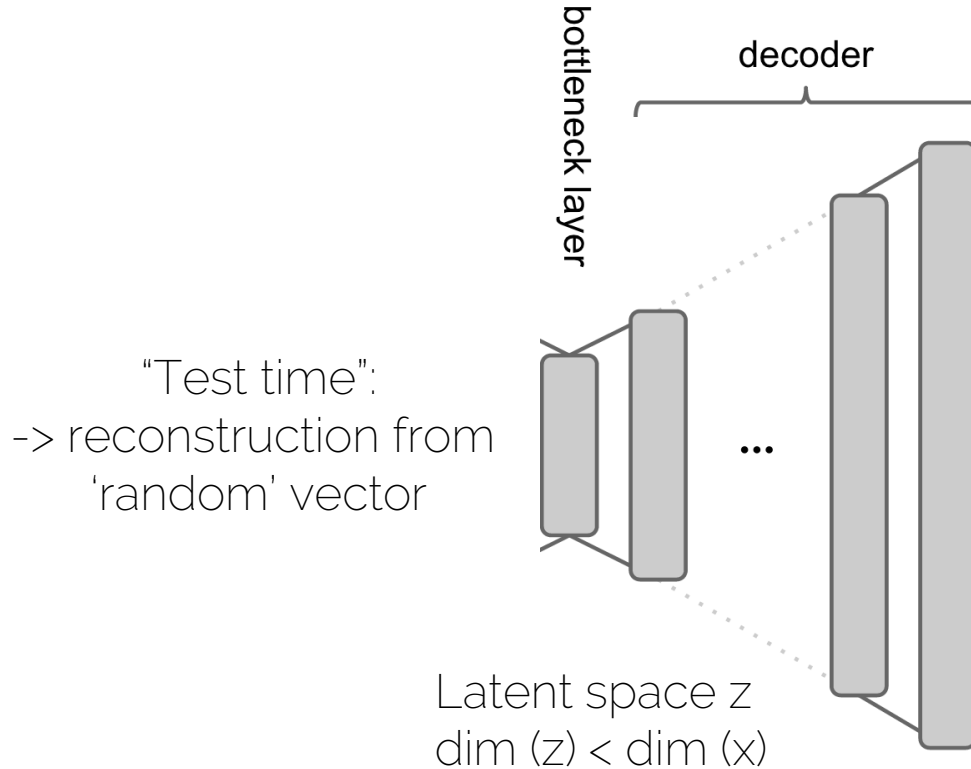
Autoencoder



Decoder as Generative Model



Decoder as Generative Model



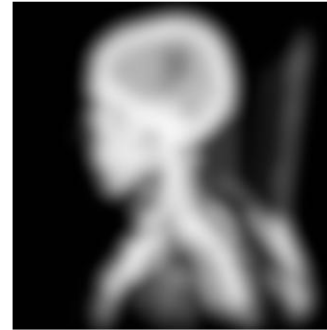
Reconstruction Loss

Often L2, i.e., sum of squared dist.

-> L2 distributes error equally

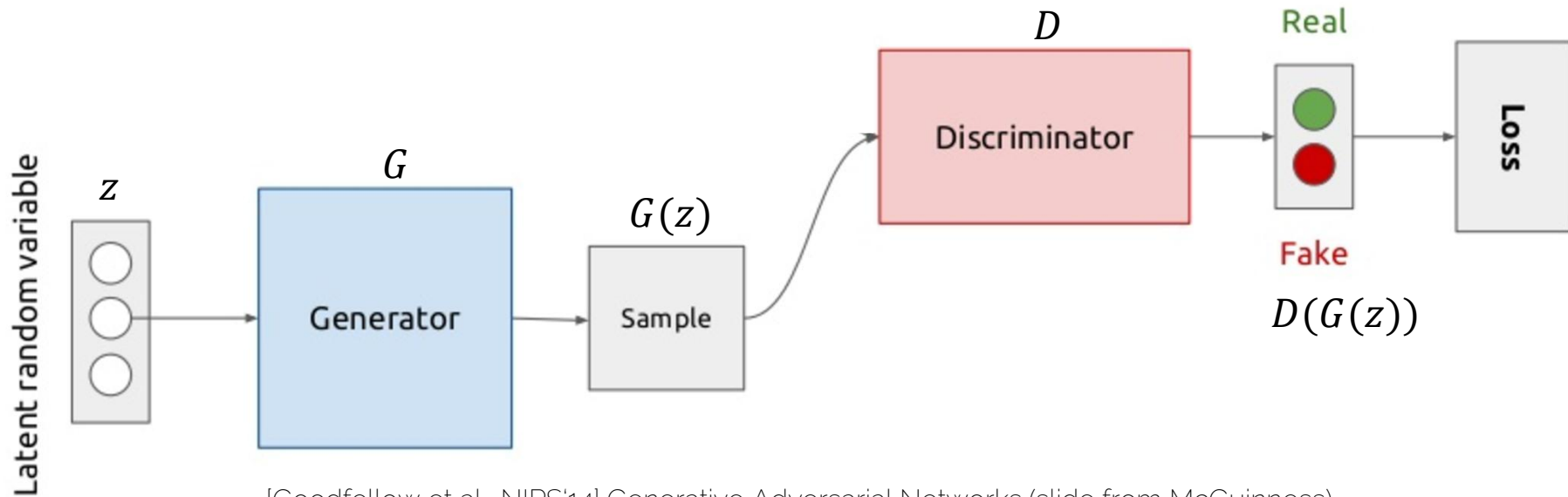
-> mean is opt.

-> res. is blurry



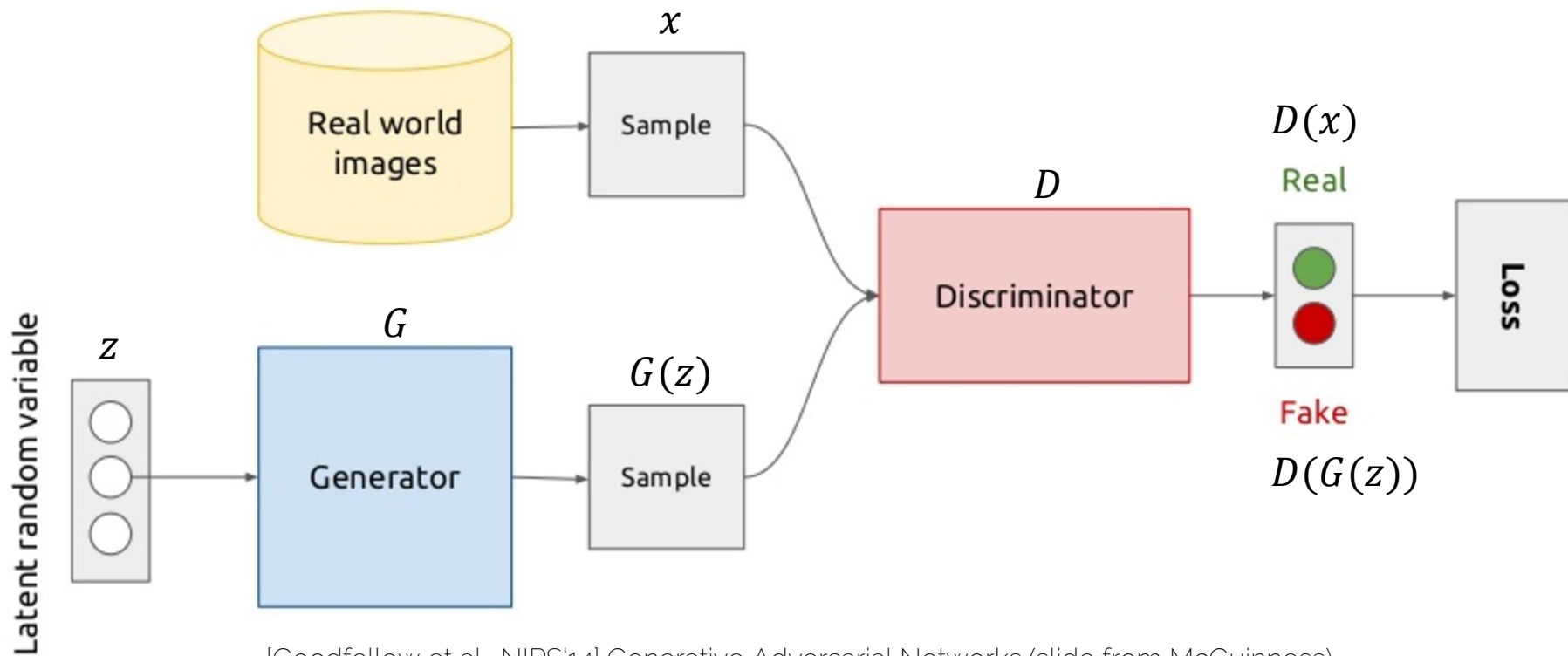
Instead of L2, can we
“learn” a loss function?

Generative Adversarial Networks (GANs)



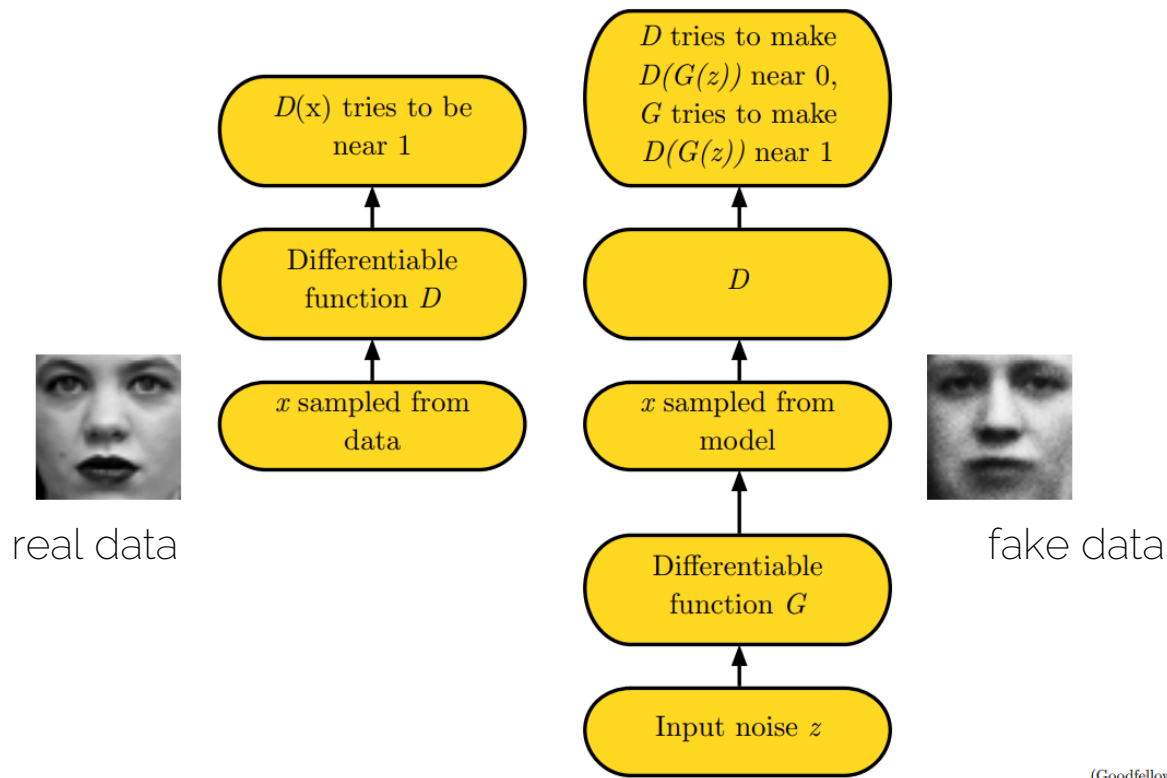
[Goodfellow et al., NIPS'14] Generative Adversarial Networks (slide from McGuinness)

Generative Adversarial Networks (GANs)



[Goodfellow et al., NIPS'14] Generative Adversarial Networks (slide from McGuinness)

Generative Adversarial Networks (GANs)



(Goodfellow 2016)

[Goodfellow, NIPS'16] Tutorial: Generative Adversarial Networks

GANs: Loss Functions

- Discriminator loss

$$J^{(D)} = - \underbrace{\frac{1}{2} \mathbb{E}_{\mathbf{x} \sim p_{data}} \log D(\mathbf{x}) - \frac{1}{2} \mathbb{E}_{\mathbf{z}} \log (1 - D(G(\mathbf{z})))}_{\text{binary cross entropy}}$$

- Generator loss

$$J^{(G)} = -J^{(D)}$$

- Minimax Game:

- G minimizes probability that D is correct
- Equilibrium is saddle point of discriminator loss
 - D provides supervision (i.e., gradients) for G

GANs: Loss Functions

Discriminator loss

$$J^{(D)} = -\frac{1}{2}\mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \log D(\mathbf{x}) - \frac{1}{2}\mathbb{E}_{\mathbf{z}} \log (1 - D(G(\mathbf{z})))$$

Generator loss

$$J^{(G)} = -\frac{1}{2}\mathbb{E}_{\mathbf{z}} \log D(G(\mathbf{z}))$$

- Heuristic Method (often used in practice)
 - G maximizes the log-probability of D being mistaken
 - G can still learn even when D rejects all generator samples

Alternating Gradient Updates

- Step 1: Fix G , and perform gradient step to

$$J^{(D)} = -\frac{1}{2}\mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \log D(\mathbf{x}) - \frac{1}{2}\mathbb{E}_{\mathbf{z}} \log (1 - D(G(\mathbf{z})))$$

- Step 2: Fix D , and perform gradient step to

$$J^{(G)} = -\frac{1}{2}\mathbb{E}_{\mathbf{z}} \log D(G(\mathbf{z}))$$

Vanilla GAN

for number of training iterations **do**

for k steps **do**

- Sample minibatch of m noise samples $\{\mathbf{z}^{(1)}, \dots, \mathbf{z}^{(m)}\}$ from noise prior $p_g(\mathbf{z})$.
- Sample minibatch of m examples $\{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(m)}\}$ from data generating distribution $p_{\text{data}}(\mathbf{x})$.
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D(\mathbf{x}^{(i)}) + \log \left(1 - D(G(\mathbf{z}^{(i)})) \right) \right].$$

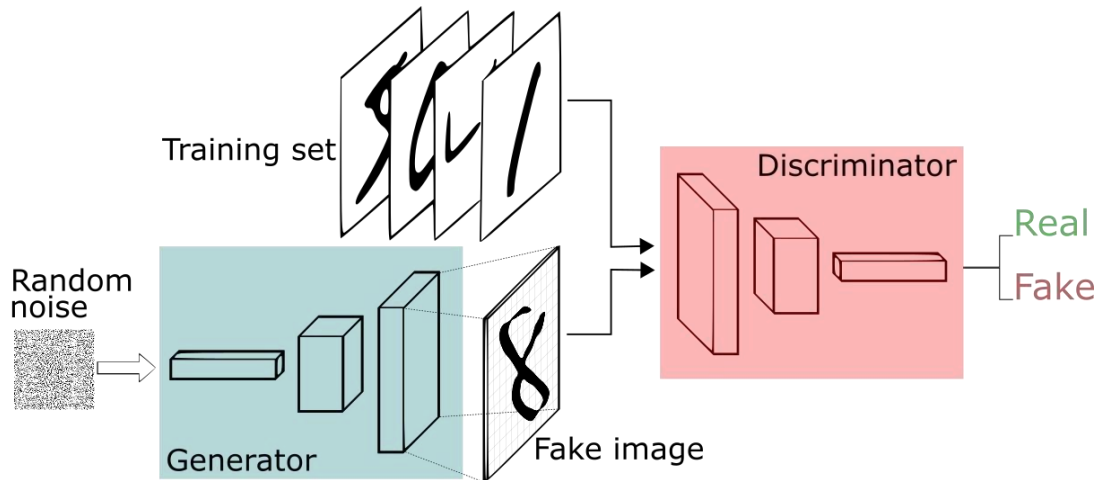
end for

- Sample minibatch of m noise samples $\{\mathbf{z}^{(1)}, \dots, \mathbf{z}^{(m)}\}$ from noise prior $p_g(\mathbf{z})$.
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log \left(1 - D(G(\mathbf{z}^{(i)})) \right).$$

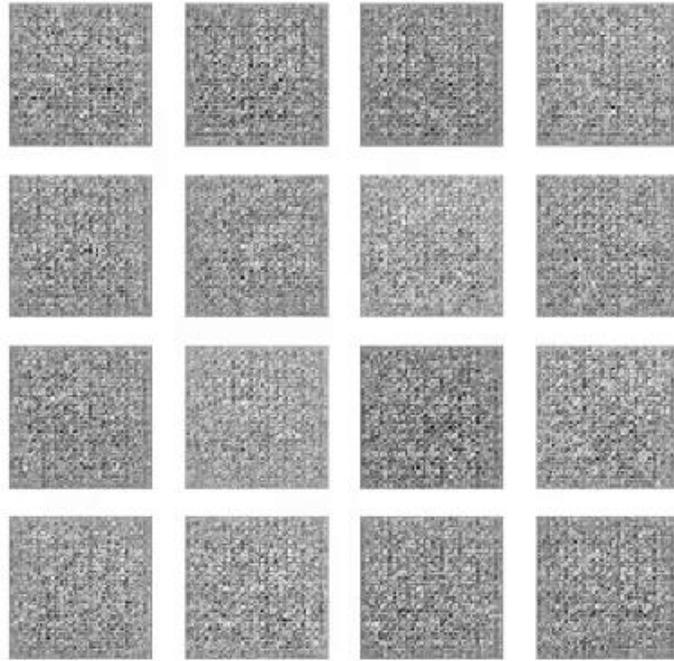
end for

Putting it all Together



$$\min_G \max_D V(D, G) = \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [\log(1 - D(G(\mathbf{z})))].$$

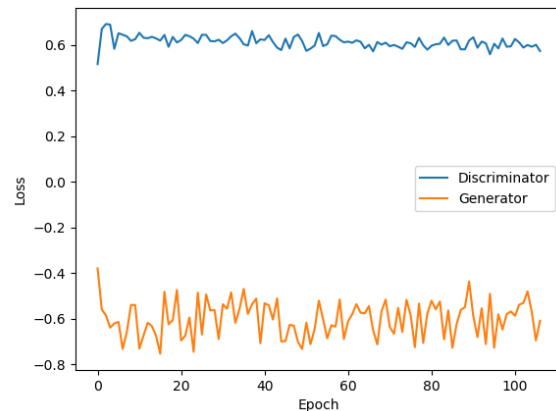
Training a GAN



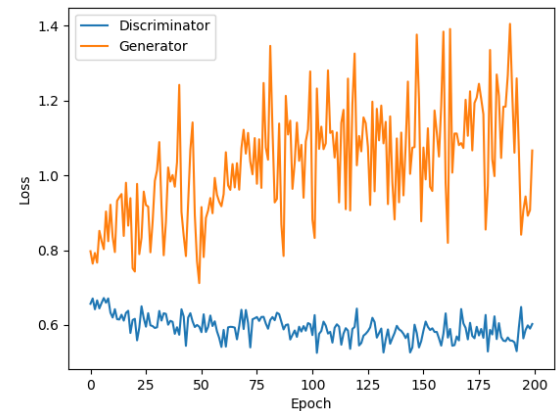
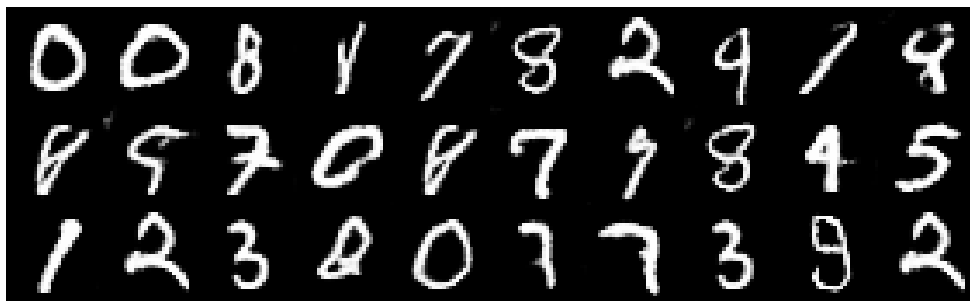
<https://medium.com/ai-society/gans-from-scratch-1-a-deep-introduction-with-code-in-pytorch-and-tensorflow-cb03cdcdba0f>

GANs: Loss Functions

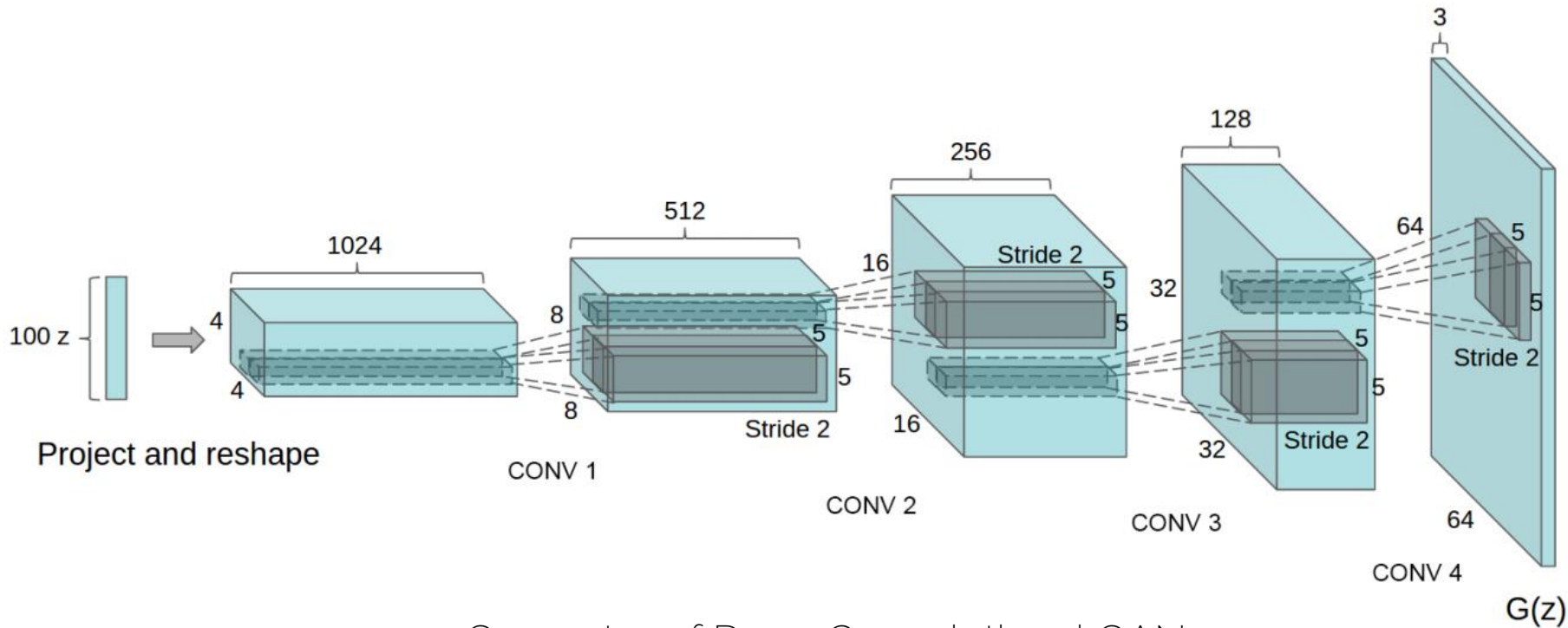
Minimax



Heuristic



DCGAN: Generator



Generator of Deep Convolutional GANs

DCGAN: Results



Results on MNIST

DCGAN: Results



Results on CelebA (200k relatively well aligned portrait photos)

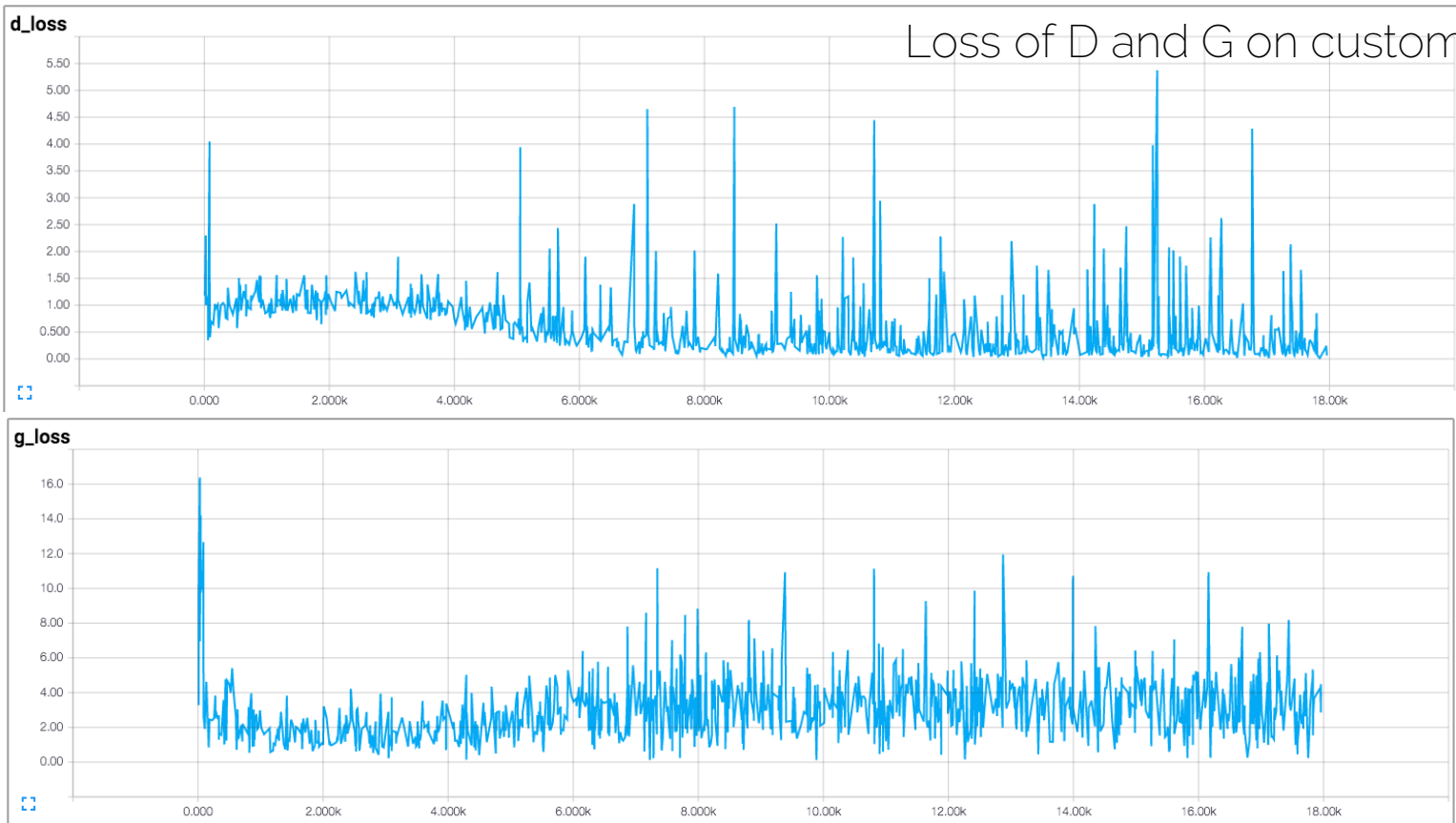
DCGAN: Results



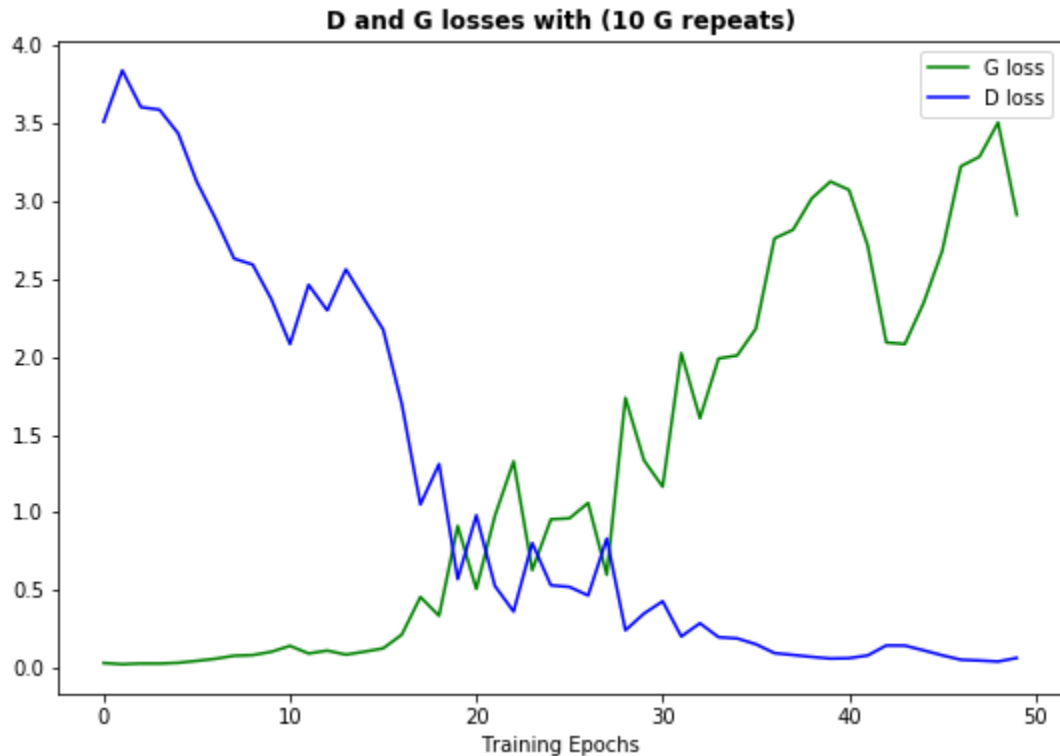
DCGAN: <https://github.com/carpedm20/DCGAN-tensorflow>

DCGAN: Results

Loss of D and G on custom dataset

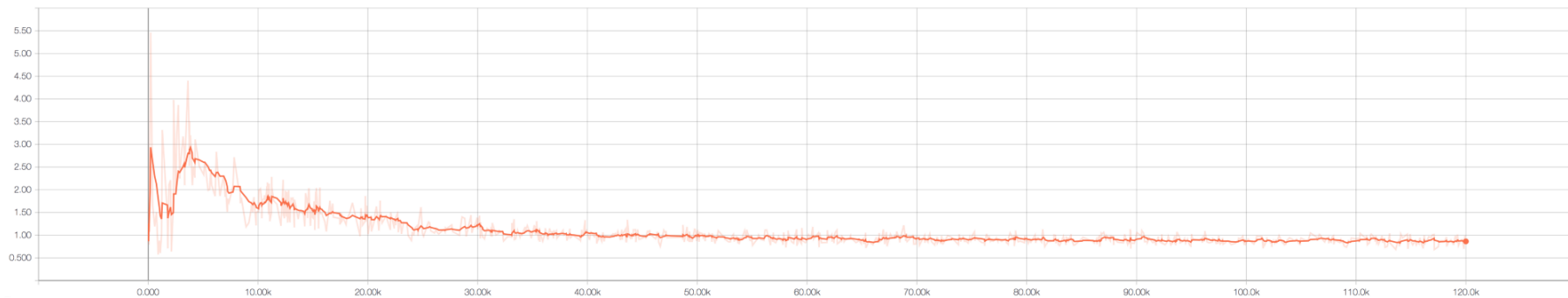


“Bad” Training Curves

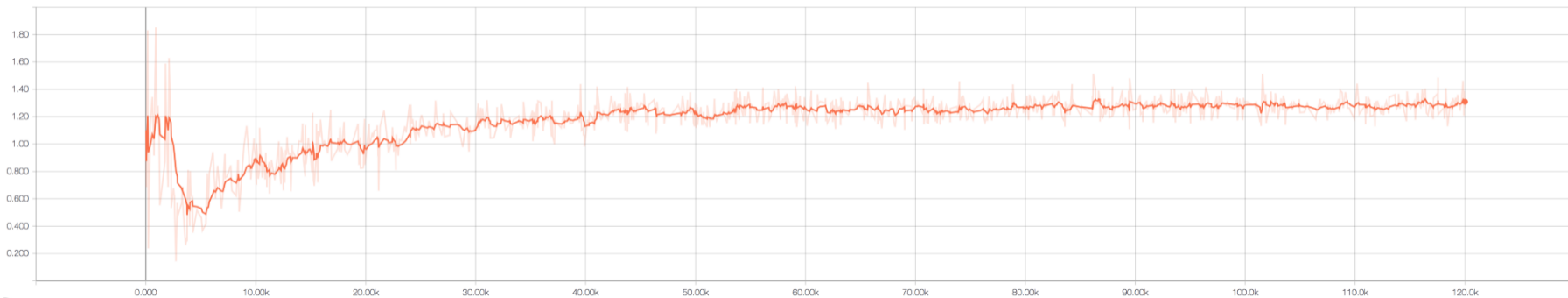


<https://stackoverflow.com/questions/44313306/dcgans-discriminator-getting-too-strong-too-quickly-to-allow-generator-to-learn>

"Good" Training Curves



Generator's Error through Time

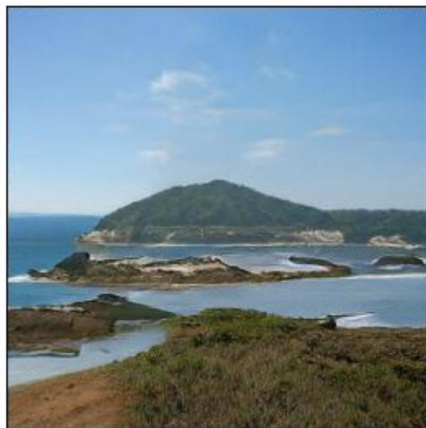
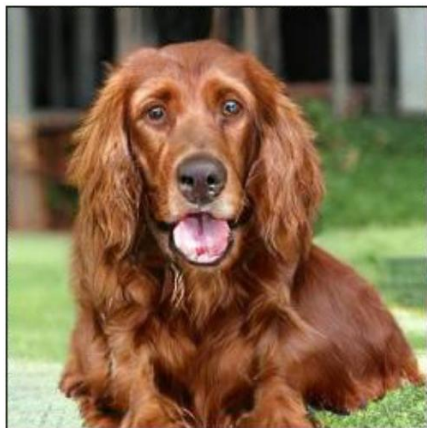


Discriminator's Error through Time

<https://medium.com/ai-society/gans-from-scratch-1-a-deep-introduction-with-code-in-pytorch-and-tensorflow-cb03cdcdabaf>

GAN Applications

BigGAN: HD Image Generation



[Brock et al., ICLR'18] BigGAN : Large Scale GAN Training for High Fidelity Natural Image Synthesis

StyleGAN: Face Image Generation

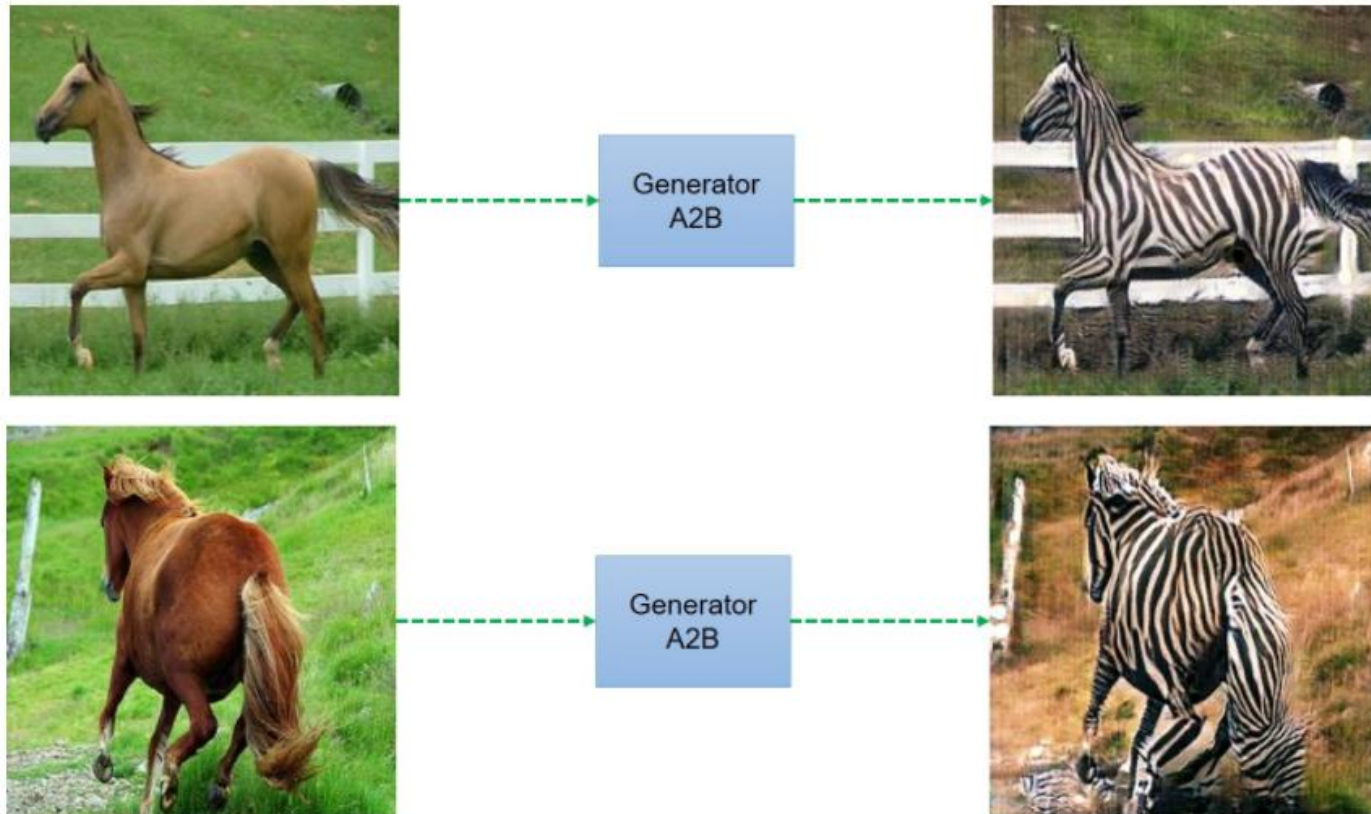


[Karras et al., '18] StyleGAN : A Style-Based Generator Architecture for Generative Adversarial Networks

[Karras et al., '19] StyleGAN2 : Analyzing and Improving the Image Quality of StyleGAN

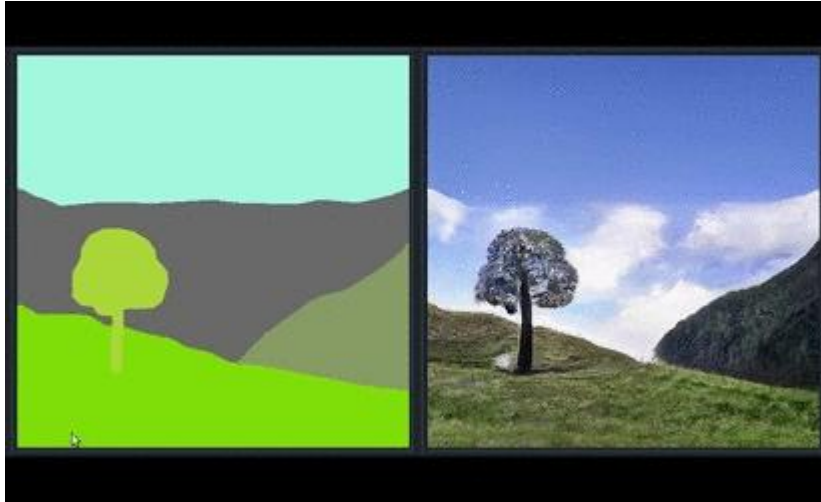
l2DL: Prof. Niessner

Cycle GAN: Unpaired Image-to-Image Translation

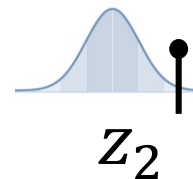
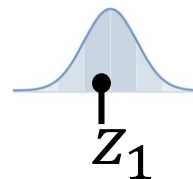
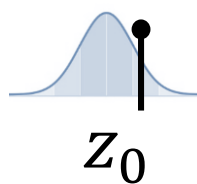


[Zhu et al., ICCV'17] Cycle GAN : Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks

SPADE: GAN-Based Image Editing



Texturify: 3D Texture Generation



[Siddiqui et al., ECCV'22]

Diffusion

Diffusion – Search Interest



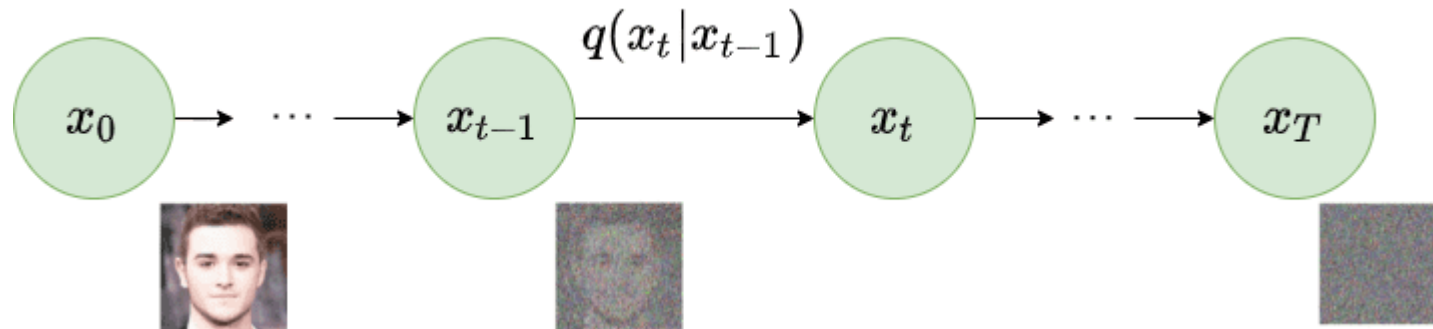
Source: Google Trends

Diffusion Models

- Class of generative models
- Achieved state-of-the-art image generation (DALLE-2, Imagen, StableDiffusion)
- What is diffusion?

Diffusion Process

- Gradually add noise to input image x_0 in a series of T time steps
- Neural network trained to recover original data



[Ho et al. '20] Denoising Diffusion Probabilistic Models

Forward Diffusion

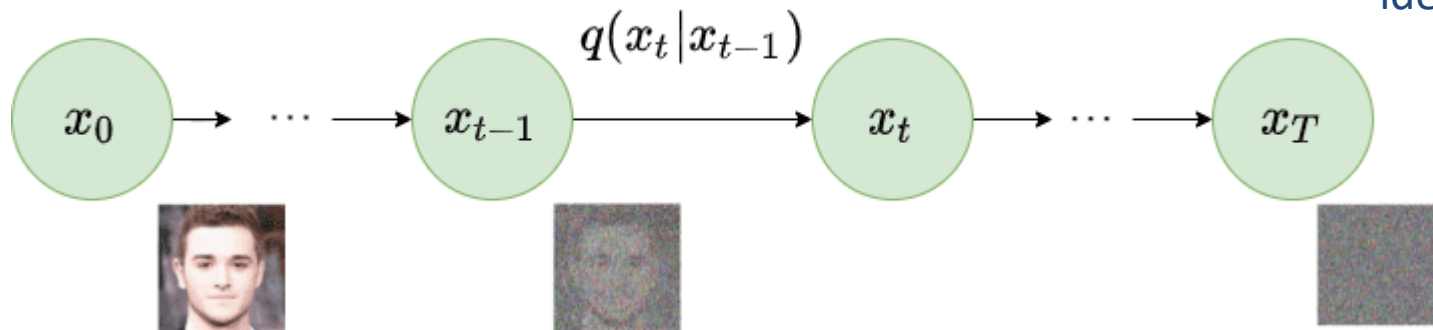
- Markov chain of T steps
 - Each step depends only on previous
- Adds noise to x_0 sampled from real distribution $q(x)$

$$q(x_t|x_{t-1}) = \mathcal{N}(x_t; \mu_t = \sqrt{1 - \beta_t}x_{t-1}, \Sigma_t = \beta_t \mathbf{I})$$

mean

variance

identity matrix



[Ho et al. '20] Denoising Diffusion Probabilistic Models

Forward Diffusion

- Go from x_0 to x_T :

$$q(x_{1:T}|x_0) = \prod_{t=1}^T q(x_t|x_{t-1})$$

- Efficiency?

Reparameterization

- Define $\alpha_t = 1 - \beta_t$, $\bar{\alpha}_t = \prod_{s=0}^t \alpha_s$, $\epsilon_0, \dots, \epsilon_{t-1} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$

$$\begin{aligned}x_t &= \sqrt{1 - \beta_t}x_{t-1} + \sqrt{\beta_t}\epsilon_{t-1} \\&= \sqrt{\alpha_t}x_{t-1} + \sqrt{1 - \alpha_t}\epsilon_{t-1} \\&= \sqrt{\alpha_t\alpha_{t-1}}x_{t-2} + \sqrt{1 - \alpha_t\alpha_{t-1}}\epsilon_{t-2} \\&= \sqrt{\bar{\alpha}_t}x_0 + \sqrt{1 - \bar{\alpha}_t}\epsilon_0\end{aligned}$$

$$x_t \sim q(x_t|x_0) = \mathcal{N}(x_t; \sqrt{\bar{\alpha}_t}x_0, (1 - \bar{\alpha}_t)\mathbf{I})$$

Reverse Diffusion

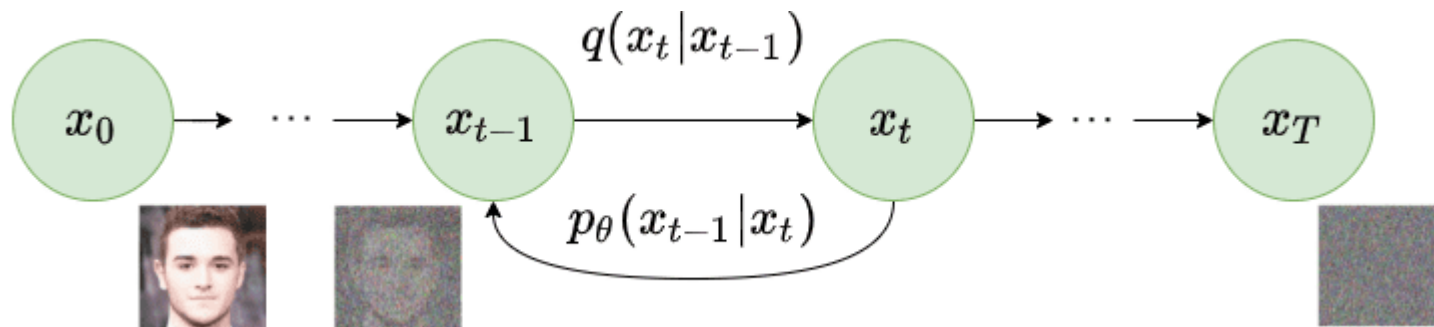
- $\mathbf{x}_{T \rightarrow \infty}$ becomes a Gaussian distribution
- Reverse distribution $q(\mathbf{x}_{t-1}|\mathbf{x}_t)$
 - Sample $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ and run reverse process
 - Generates a novel data point from original distribution
- How to model reverse process?

Approximate Reverse Process

- Approximate $q(x_{t-1}|x_t)$ with parameterized model p_θ (e.g., deep network)

$$p_\theta(x_{t-1}|x_t) = \mathcal{N}(x_{t-1}; \mu_\theta(x_t, t), \Sigma_\theta(x_t, t))$$

$$p_\theta(x_{0:T}) = p_\theta(x_T) \prod_{t=1}^T p_\theta(x_{t-1}|x_t)$$



[Ho et al. '20] Denoising Diffusion Probabilistic Models

Training a Diffusion Model

- Optimize negative log-likelihood of training data

$$\begin{aligned} L_{VLB} &= \mathbb{E}_q [D_{KL}(q(x_T|x_0)||p_\theta(x_T))]_{L_T} \\ &+ \sum_{t=2}^T \underbrace{D_{KL}(q(x_{t-1}|x_t, x_0)||p_\theta(x_{t-1}|x_t))}_{L_{t-1}} - \underbrace{\log p_\theta(x_0|x_1)}_{L_0} \end{aligned}$$

- Nice derivations: <https://lilianweng.github.io/posts/2021-07-11-diffusion-models>

Training a Diffusion Model

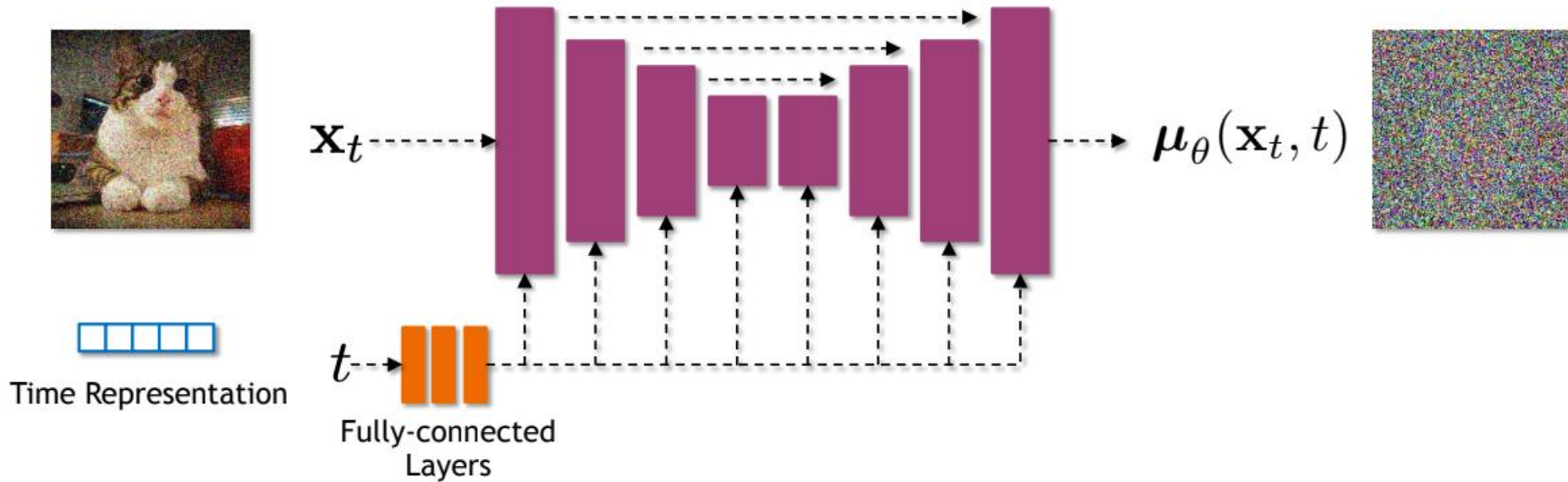
- $L_{t-1} = D_{KL}(q(x_{t-1}|x_t, x_0) || p_{\theta}(x_{t-1}|x_t))$
- Comparing two Gaussian distributions
- $L_{t-1} \propto \|\tilde{\mu}_t(x_t, x_0) - \mu_{\theta}(x_t, t)\|^2$
- Predicts diffusion posterior mean

Diffusion Model Architecture

- Input and output dimensions must match
- Highly flexible to architecture design
- Commonly implemented with U-Net architectures

Diffusion Model Architecture

Example U-Net as diffusion model



Applications for Diffusion Models

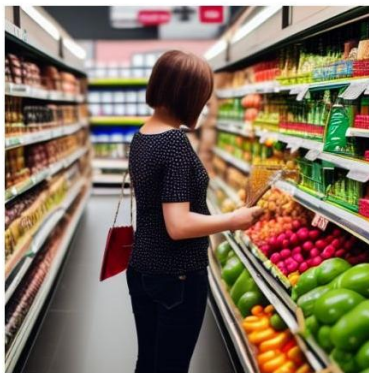
- Text-to-image



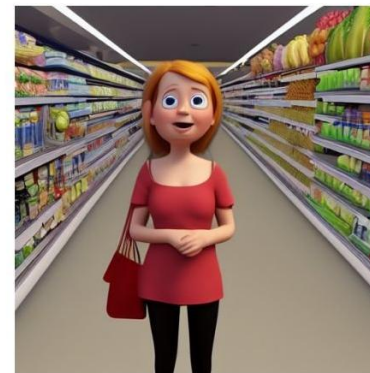
Oil Painting



Digital Illustration



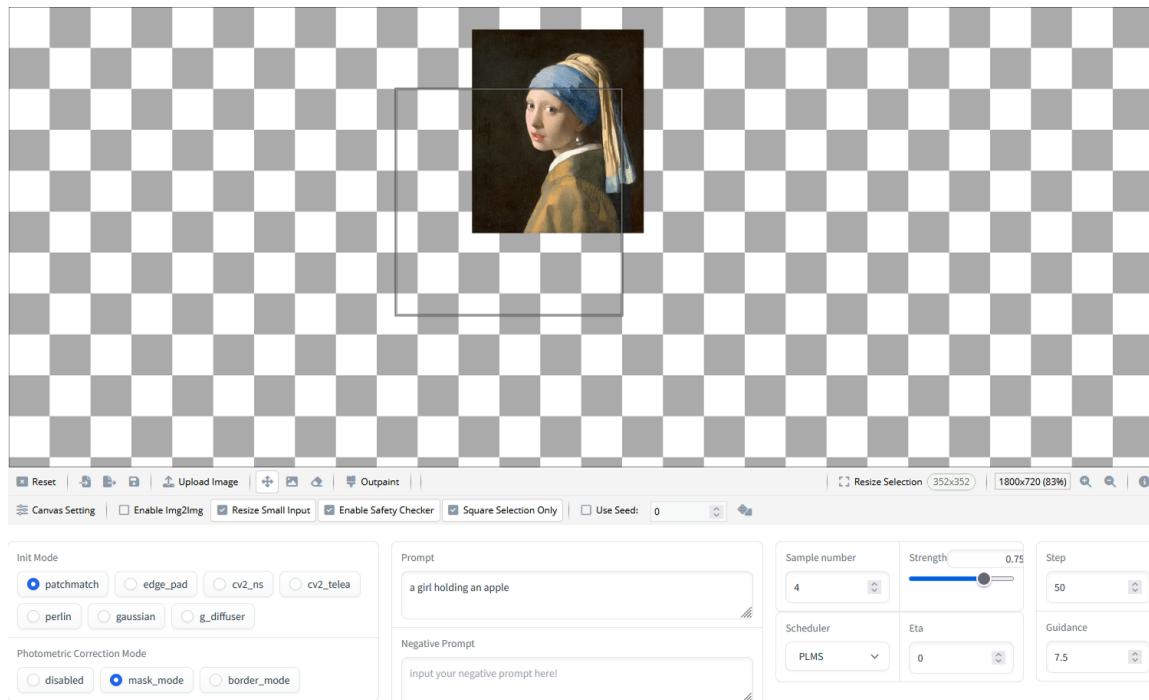
Hyperrealistic



Cartoon

Applications for Diffusion Models

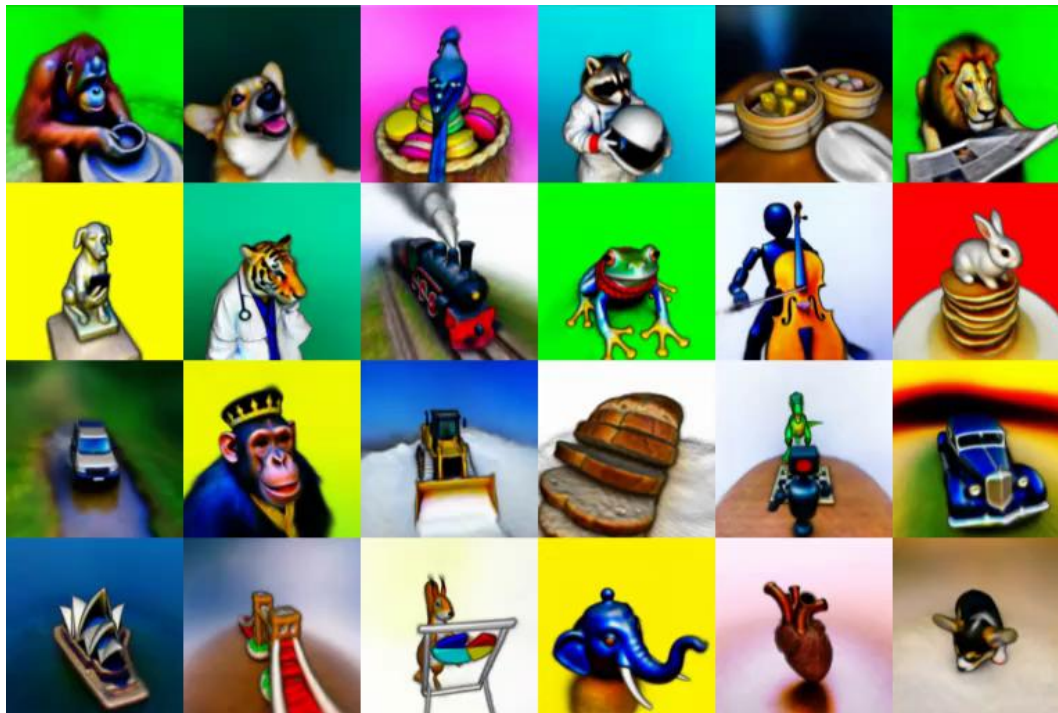
- Image inpainting & outpainting



<https://github.com/lkwq007/stablediffusion-infinity>

Applications for Diffusion Models

- Text-to-3D Neural Radiance Fields



<https://dreamfusion3d.github.io/>

Reinforcement Learning

Learning Paradigms in ML

Supervised Learning

E.g., classification,
regression

Labeled data

Find mapping from
input to label

Unsupervised Learning

E.g., clustering,
anomaly detection

Unlabeled data

Find structure in data

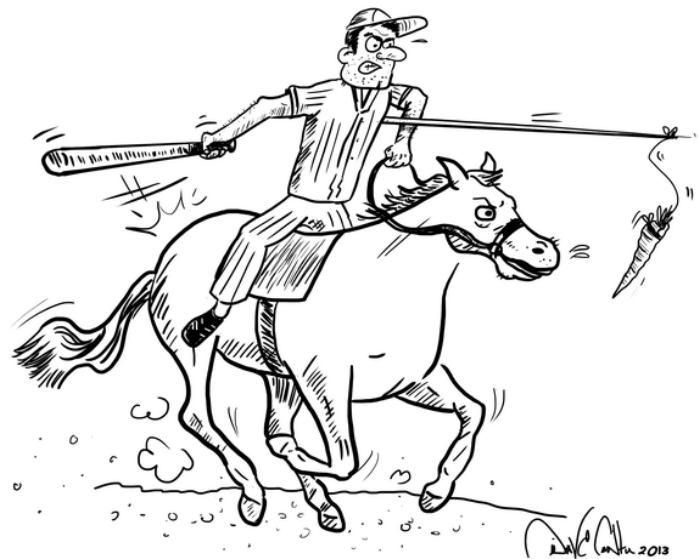
Reinforcement Learning

Sequential data

Learning by
interaction with
the environment

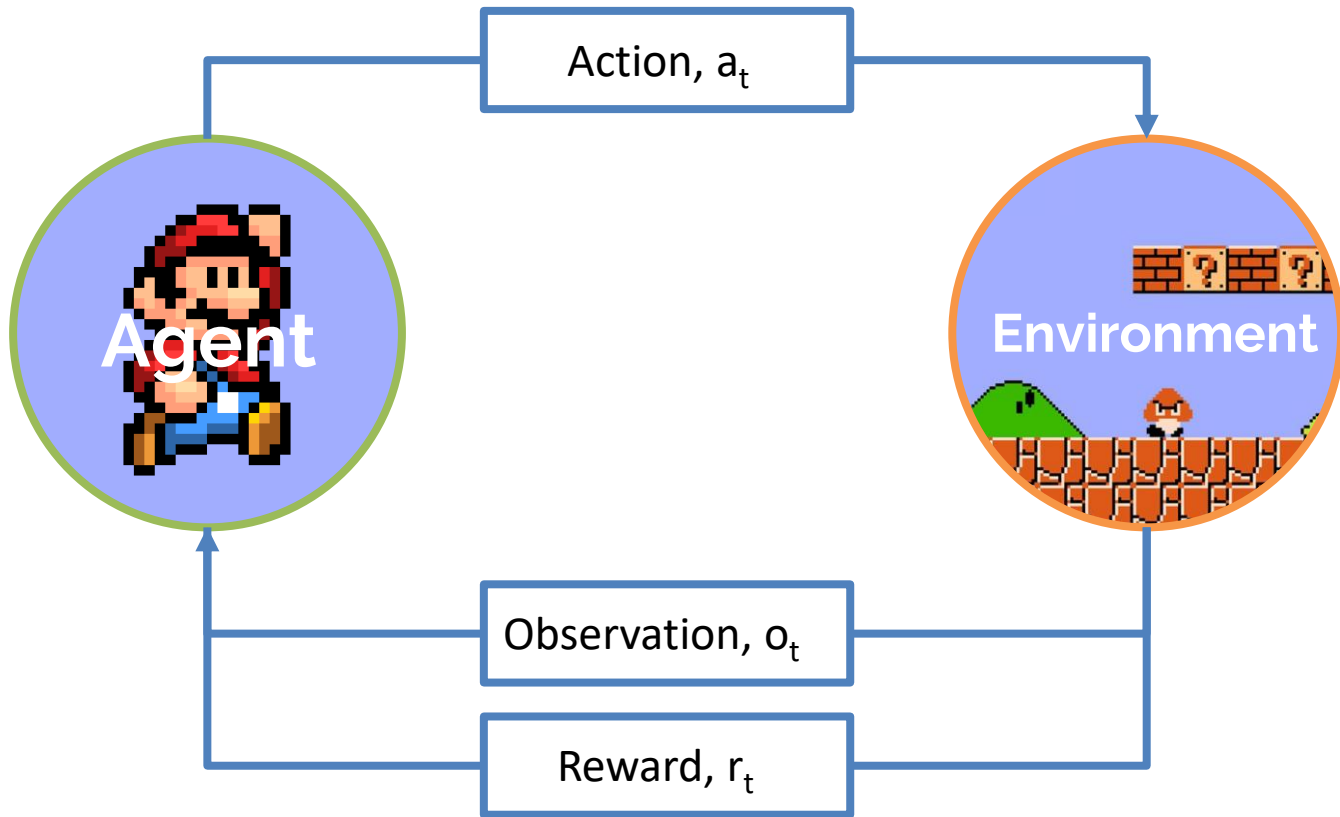
In a Nutshell

- RL-agent is trained using the “carrot and stick” approach
- Good behavior is encouraged by rewards
- Bad behavior is discouraged by punishment



Source: quora.com

Agent and Environment



Characteristics of RL

- Sequential, non i.i.d. data (time matters)
- Actions have an effect on the environment
-> Change future input
- No supervisor, target is approximated by the reward signal

History and State

- The agent makes decisions based on the **history** h of observations, actions and rewards up to time-step t

$$h_t = o_1, a_1, r_1, \dots, a_{t-1}, r_{t-1}, o_t$$

- The **state** s contains all the necessary information from $h \rightarrow s$ is a function of h

$$s_t = f(h_t)$$

Markov Assumption

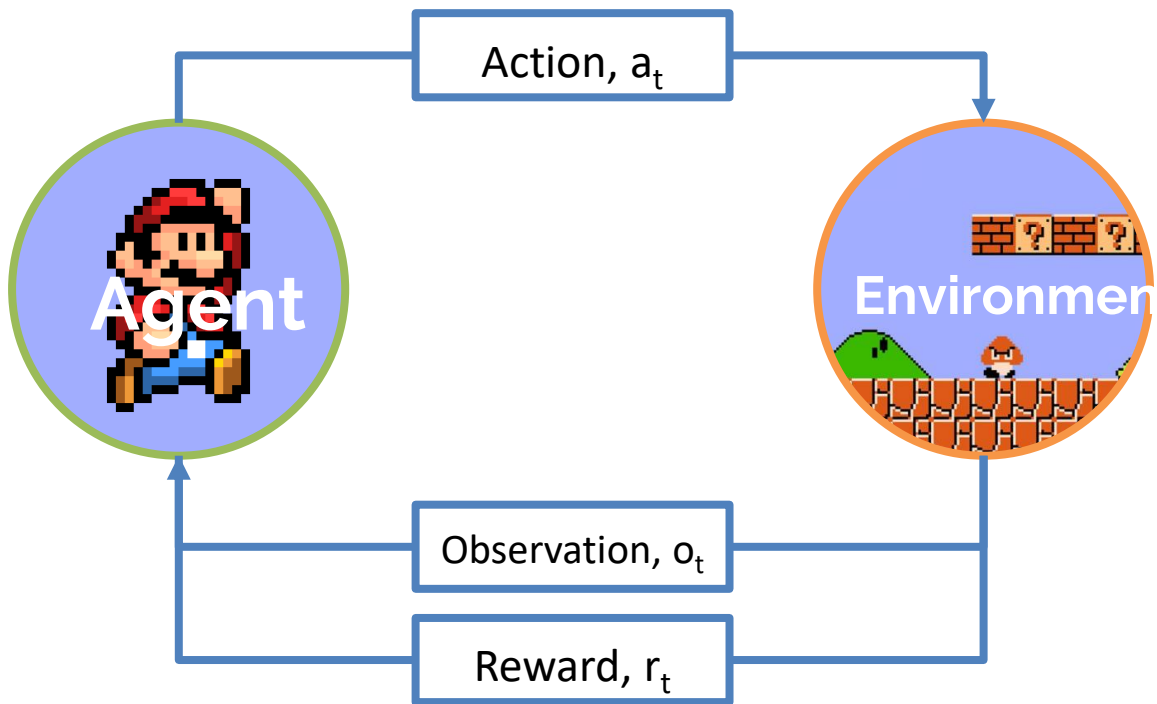
- Problem: History grows linearly over time
- Solution: **Markov Assumption**
- A state S_t is Markov if and only if:

$$\mathbb{P}[s_{t+1}|s_t] = \mathbb{P}[s_{t+1}|s_1, \dots s_t]$$

- “The future is independent of the past given the present”

Agent and Environment

- Reward and next state are functions of current observation o_t and action a_t only



Mathematical Formulation

- The RL problem is a Markov Decision Process (MDP) defined by: $(\mathcal{S}, \mathcal{A}, \mathcal{R}, \mathbb{P}, \gamma)$

$\mathcal{S}$: Set of possible states

$\mathcal{A}$: Set of possible actions

$\mathcal{R}$: Distribution of reward given (state, action) pair

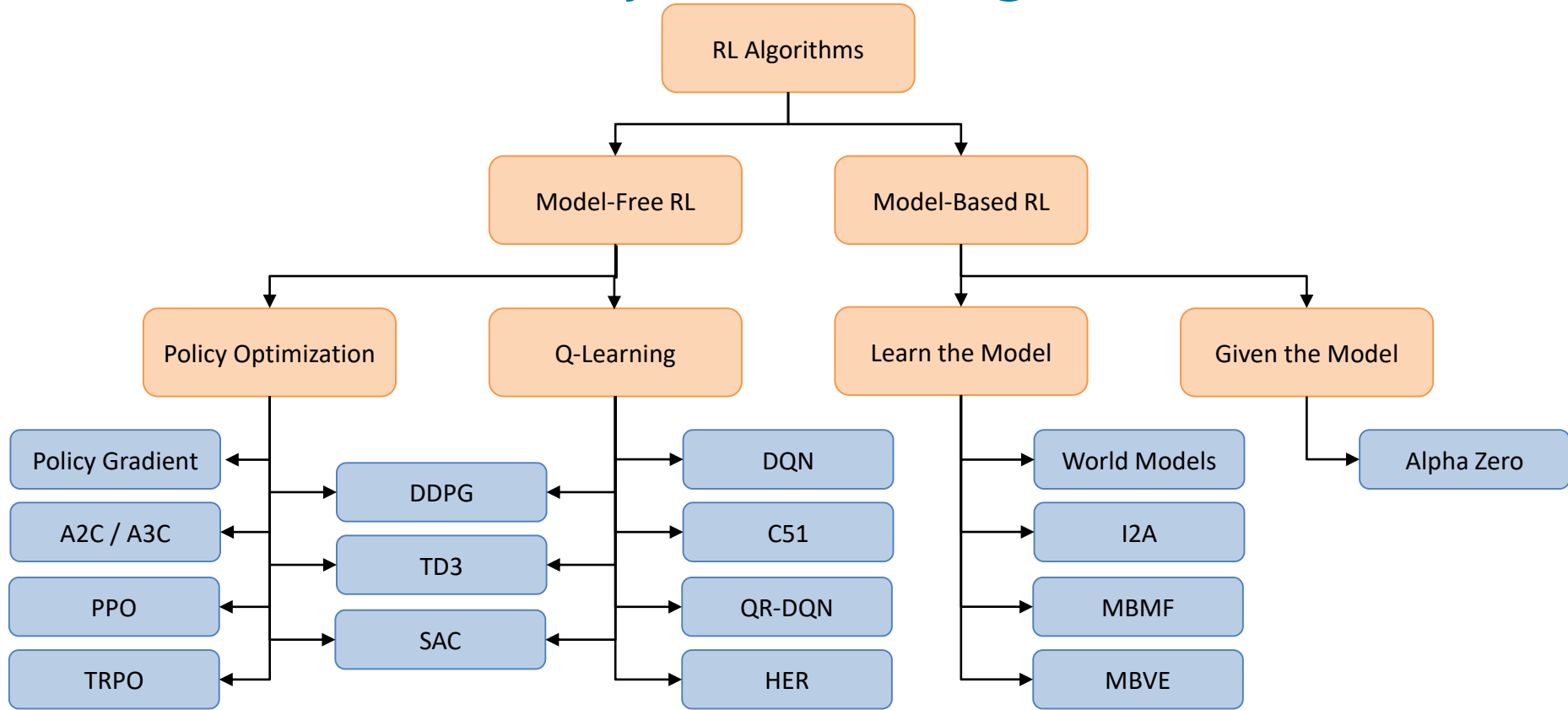
$\mathbb{P}$: Transition probability of a (state, action) pair

γ : Discount factor (discounts future rewards)

Components of an RL Agent

- **Policy π** : Behavior of the agent
-> Mapping from state to action: $a = \pi(s)$
- **Value-, Q-Function**: How good is a state or (state, action) pair
-> Expected future reward

Taxonomy of RL Algorithms



RL Milestones: Playing Atari

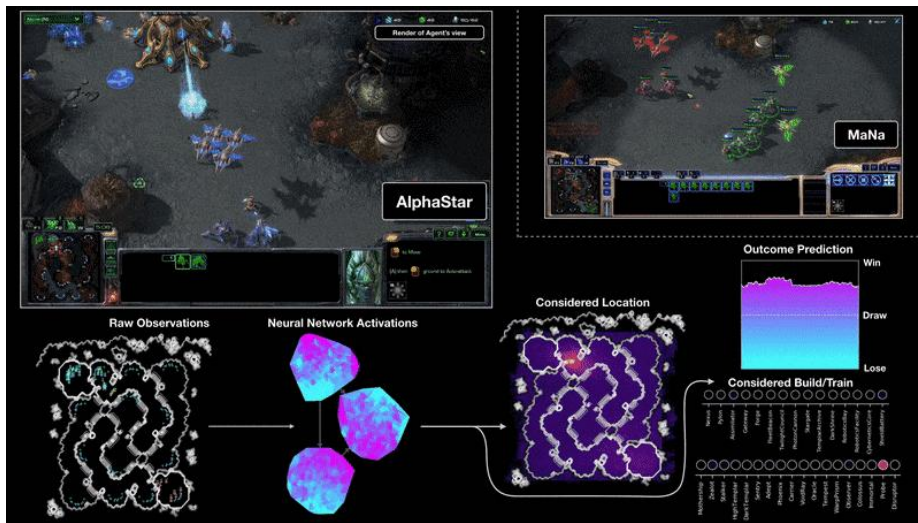


- Mnih et al. 2013, first appearance of DQN
- Successfully learned to play different Atari games like Pong, Breakout, Space Invaders, Seaquest and Beam Rider

[Mnih et al., NIPS'13] Playing Atari with Deep Reinforcement Learning

RL Milestones: AlphaZero (StarCraft)

- Model: Transformer network with a LSTM core
- Trained on 200 years of StarCraft play for 14 days
- 16 Google v3 TPUs
- December 2018:
Beats MaNa, a
professional StarCraft
player (world rank 13)



See you next time!